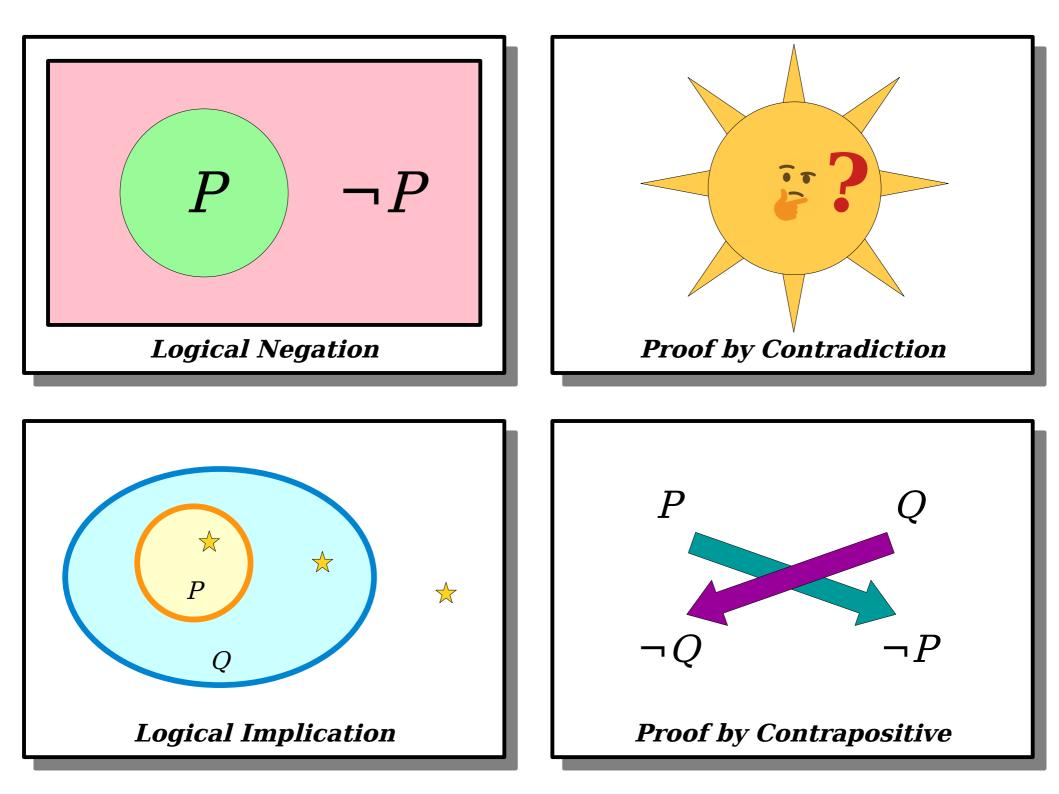
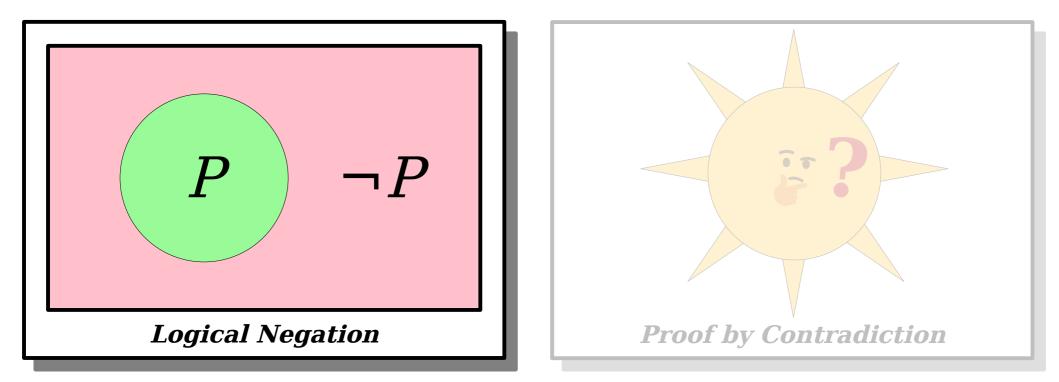
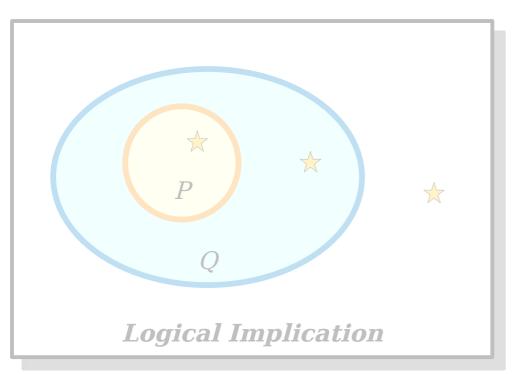
## Indirect Proofs

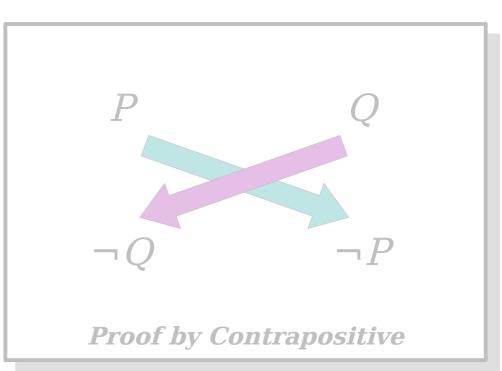
# Indirect Proofs

A Story in Four Acts









#### Act I

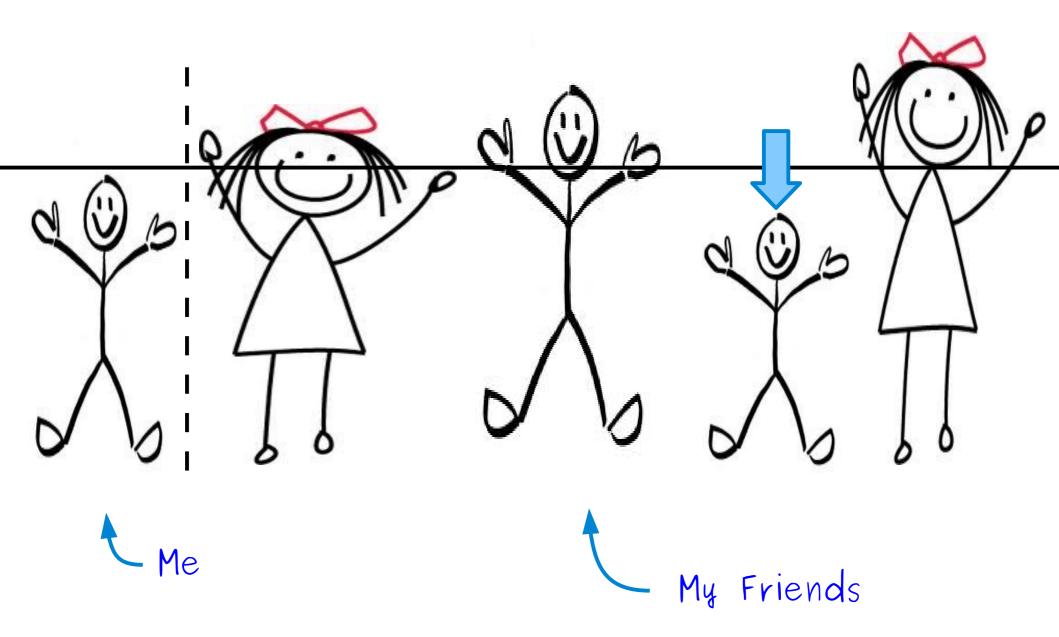
# Logical Negation

# Negations

- A *proposition* is a statement that is either true or false.
- Some examples:
  - If *n* is an even integer, then  $n^2$  is an even integer.
  - $\emptyset = \mathbb{R}$ .
- The *negation* of a proposition X is a proposition that is true when X is false and is false when X is true.
- For example, consider the proposition "it is snowing outside."
  - Its negation is "it is not snowing outside."
  - Its negation is *not* "it is sunny outside."
  - Its negation is *not* "we're in the Bay Area."

# How do you find the negation of a statement?

#### "All My Friends Are Taller Than Me"



The negation of the *universal* statement

#### **Every** *P* is a *Q*

#### is the *existential* statement

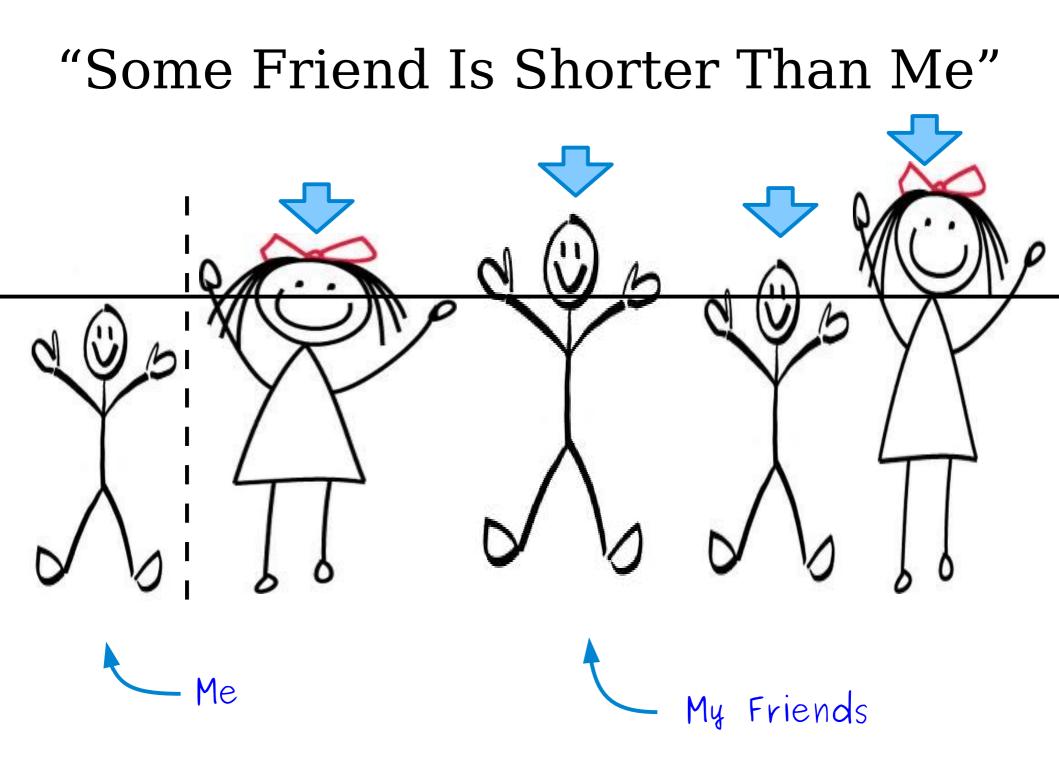
#### There is a *P* that is not a *Q*.

The negation of the *universal* statement

For all x, P(x) is true.

is the *existential* statement

There exists an *x* where *P*(*x*) is false.



The negation of the *existential* statement

There exists a *P* that is a *Q* 

is the *universal* statement

**Every** *P* is not a *Q*.

The negation of the *existential* statement There exists an *x* where *P(x)* is true is the *universal* statement For all *x*, *P(x)* is false.

## Your Turn!

• What's the negation of the following statement?

# *"Every brown dog loves every orange cat."*

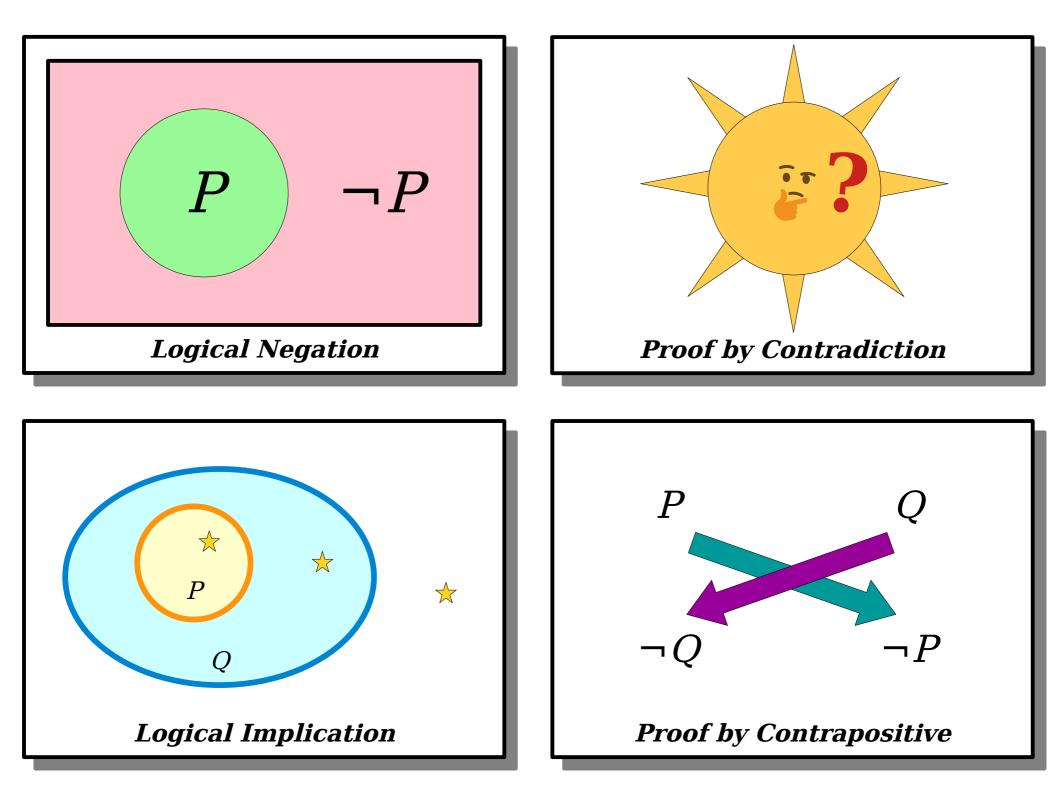
## Your Turn!

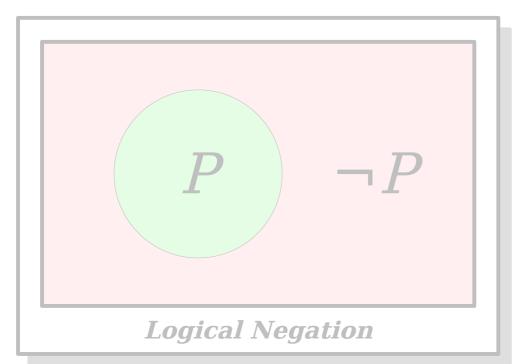
• What's the negation of the following statement?

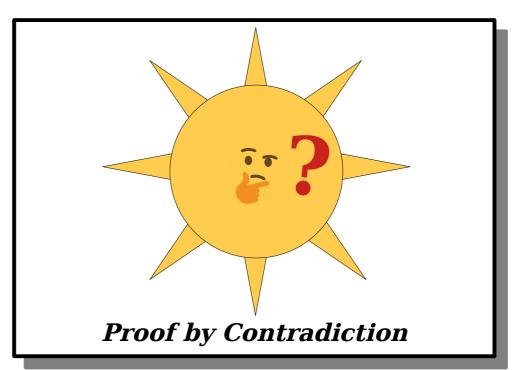
# *"Every brown dog loves every orange cat."*

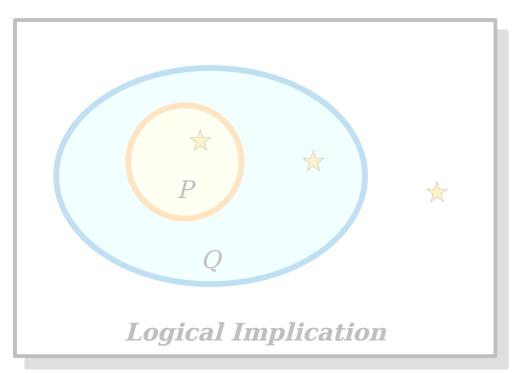
• Answer:

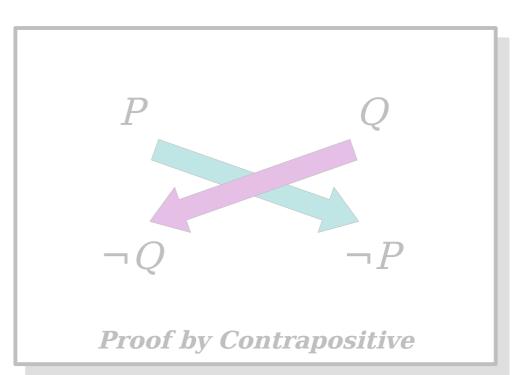
*"There is a brown dog that doesn't love some orange cat"* 











#### Act II

# Proof by Contradiction

# First, let's reflect on the **direct proof** technique we saw Wednesday.

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

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**Proof:** Assume *n* is an even integer.

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**Proof:** Assume *n* is an even integer. We want to show that  $n^2$  is even.

Since *n* is even, there is some integer *k* such that n = 2k.

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

**Proof:** Assume *n* is an even integer. We want to show that  $n^2$  is even.

Since *n* is even, there is some integer *k* such that n = 2k. This means that

$$n^2 = (2k)^2$$

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

**Proof:** Assume *n* is an even integer. We want to show that  $n^2$  is even.

Since *n* is even, there is some integer *k* such that n = 2k. This means that

$$n^2 = (2k)^2$$
  
=  $4k^2$ 

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

**Proof:** Assume *n* is an even integer. We want to show that  $n^2$  is even.

Since *n* is even, there is some integer *k* such that n = 2k. This means that

$$p^2 = (2k)^2$$
  
=  $4k^2$   
=  $2(2k^2)$ .

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

**Proof:** Assume *n* is an even integer. We want to show that  $n^2$  is even.

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=  $4k^2$   
=  $2(2k^2).$ 

From this, we see that there is an integer m (namely,  $2k^2$ ) where  $n^2 = 2m$ .

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

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To prove

"If P is true, then Q is true,"

From this, we se (namely,  $2k^2$ ) wh is even, which is

we start by asking our reader to assume P is true.

**Theorem:** If *n* is an even integer, then  $n^2$  is even.

**Proof:** Assume n is an even integer. We want to show that  $n^2$  is even.

Since *n* is even, there is some integer *k* such that n = 2k. This means that

If we apply sound logic (using definitions, algebra, etc.) all the statements that follow are also true.

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**Proof:** Assum show that *n* 

If we apply sound logic (using definitions, algebra, etc.) all the statements that follow are also true.

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**Theorem:** If *n* is an even integer, then  $n^2$  is even.

**Proof:** Assume n is an even integer. We want to show that  $n^2$  is even.

Since *n* is even, there is some integer *k* such

If we apply sound logic (using definitions, algebra, etc.) all the statements that follow are also true.

From this, we see that there is an integer *m* (namely,  $2k^2$ ) where  $n^2 = 2m$ . Therefore,  $n^2$  is even, which is what we wanted to show.

# More generally speaking, the process looks like this:

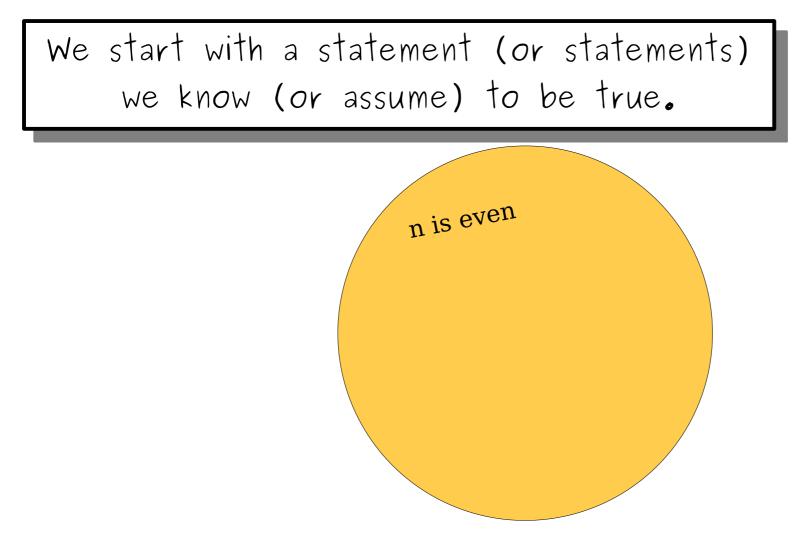
#### **Direct Proof**

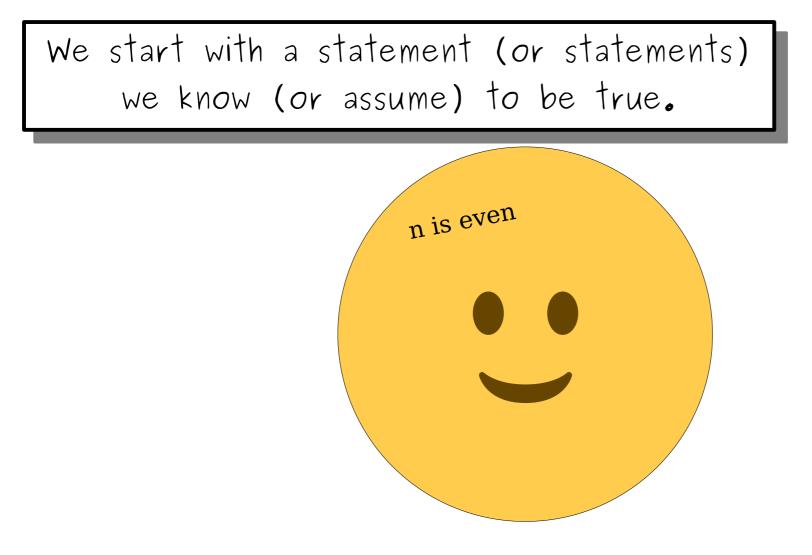
We start with a statement (or statements) we know (or assume) to be true.

#### **Direct Proof**

We start with a statement (or statements) we know (or assume) to be true.

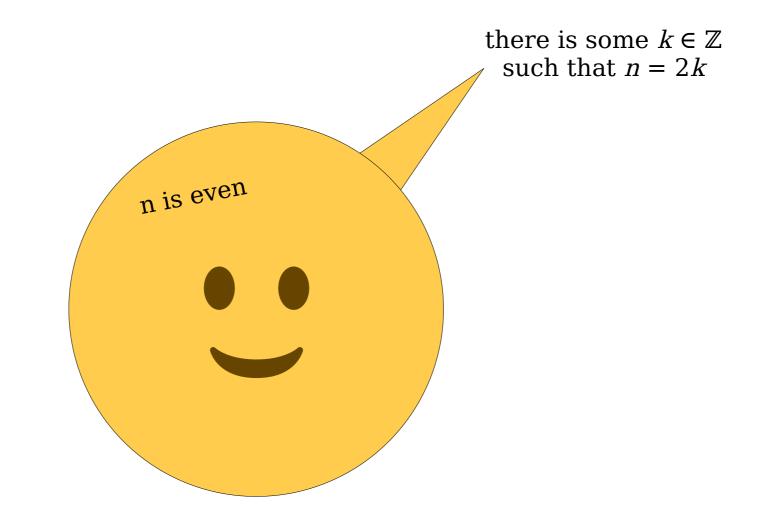
n is even

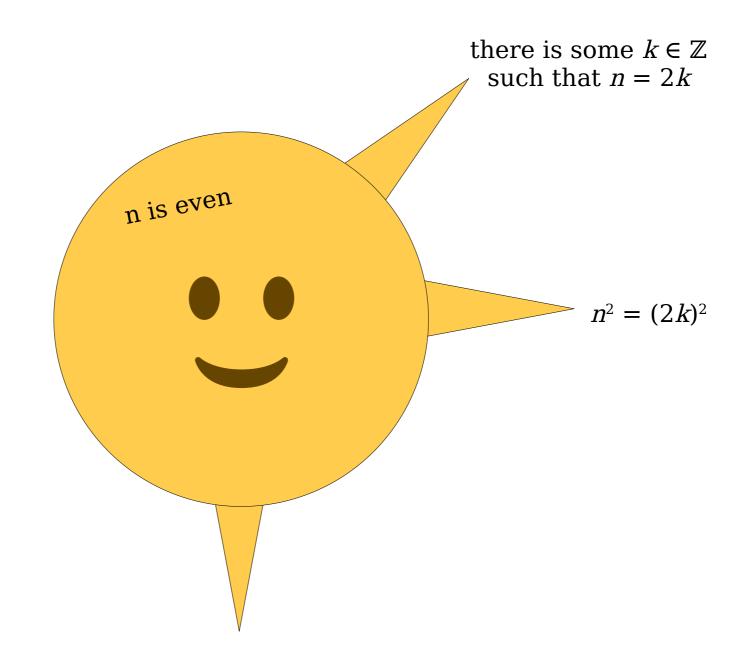


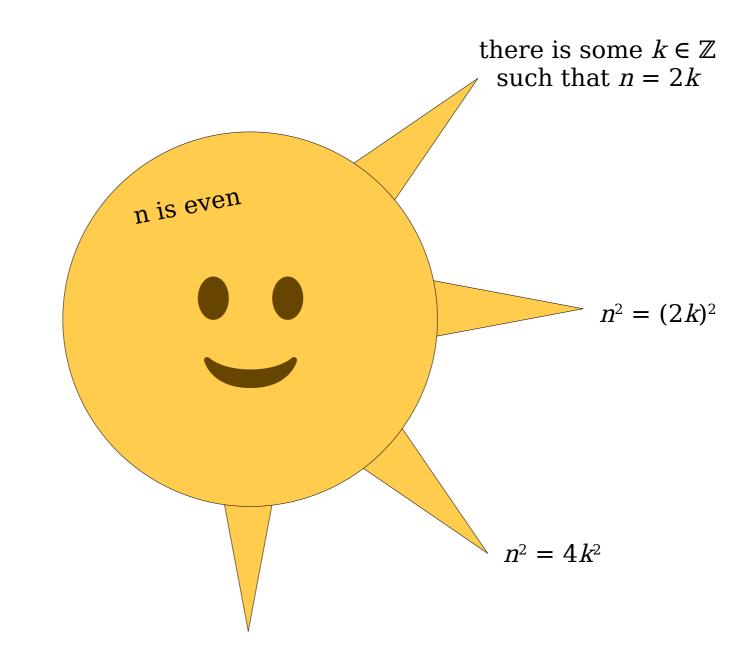


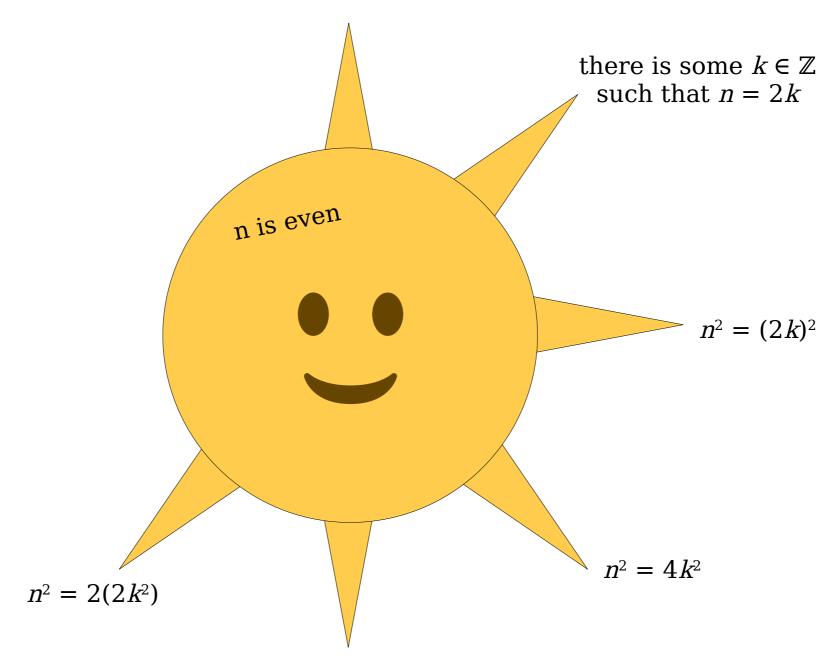


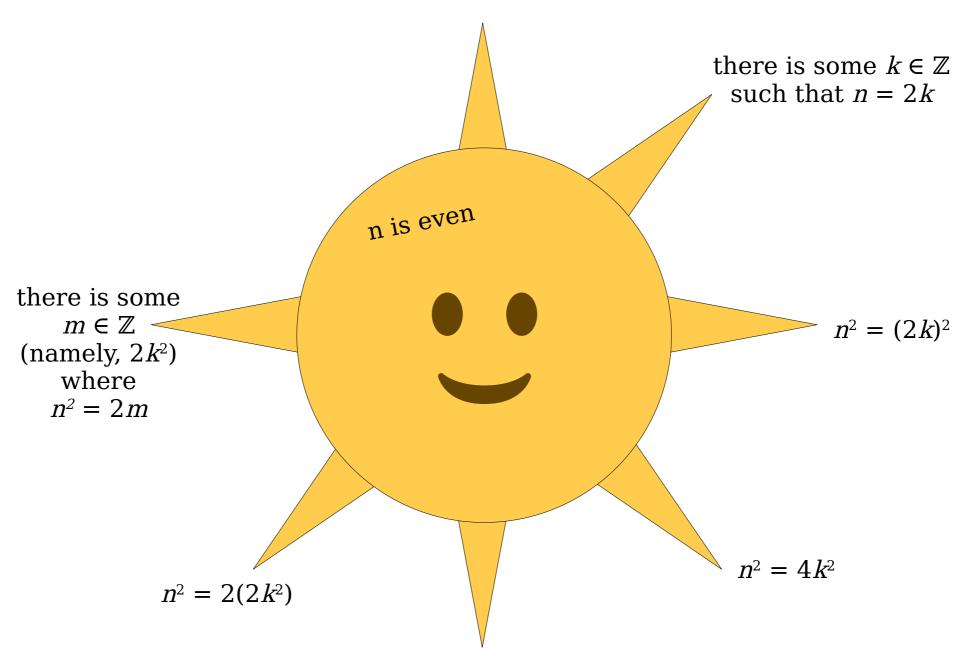
Next, we apply sound logic and rational argument to arrive at other true statements:



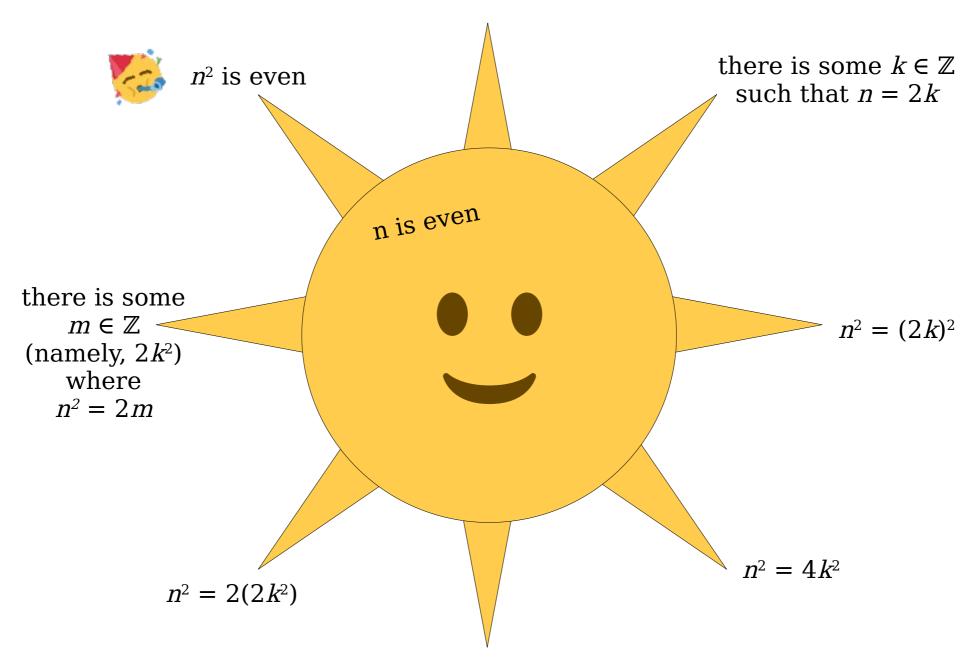


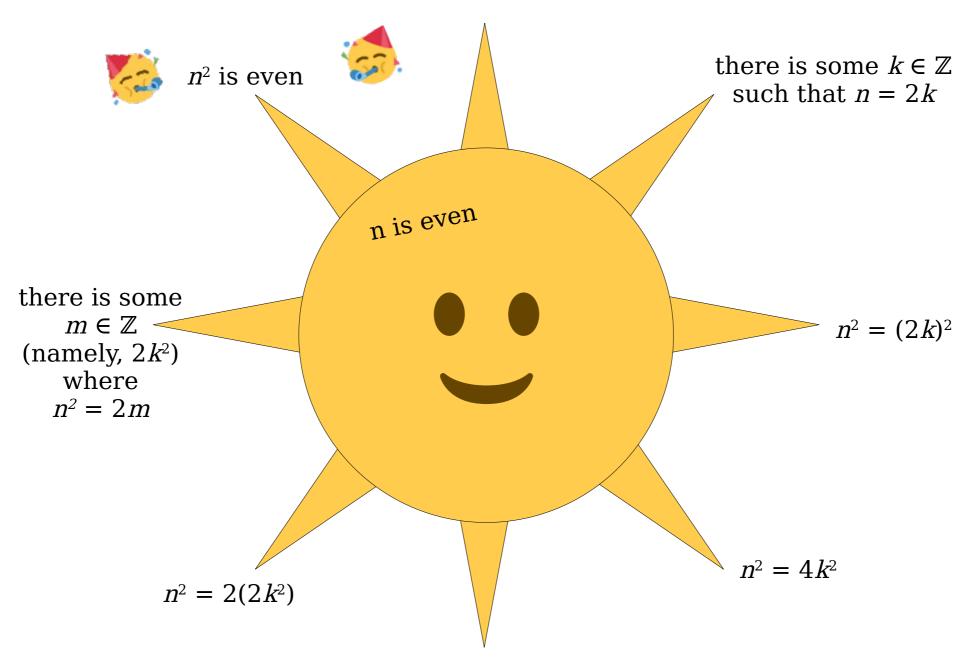


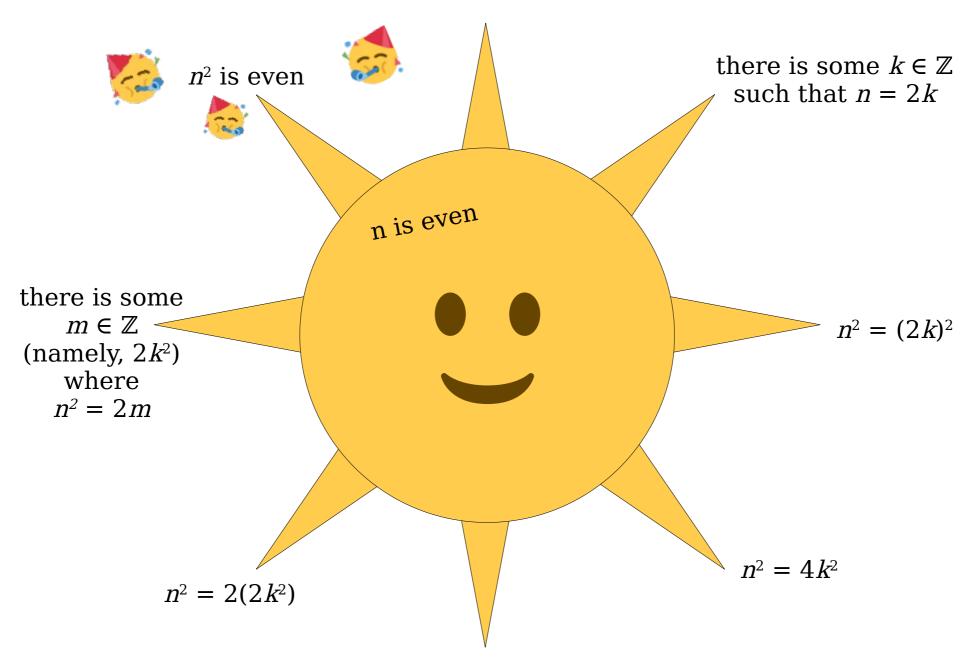


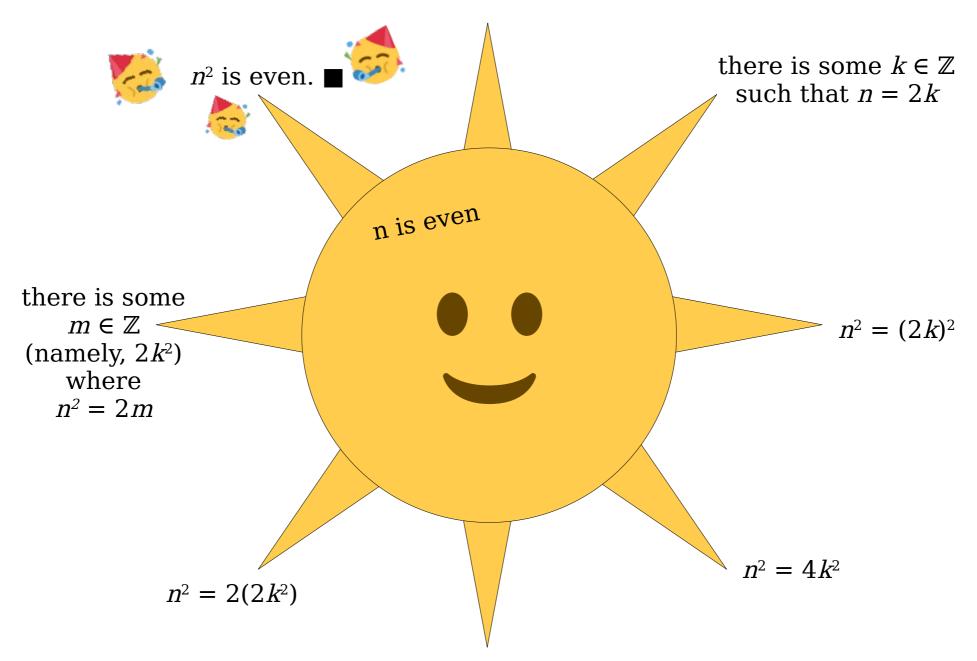


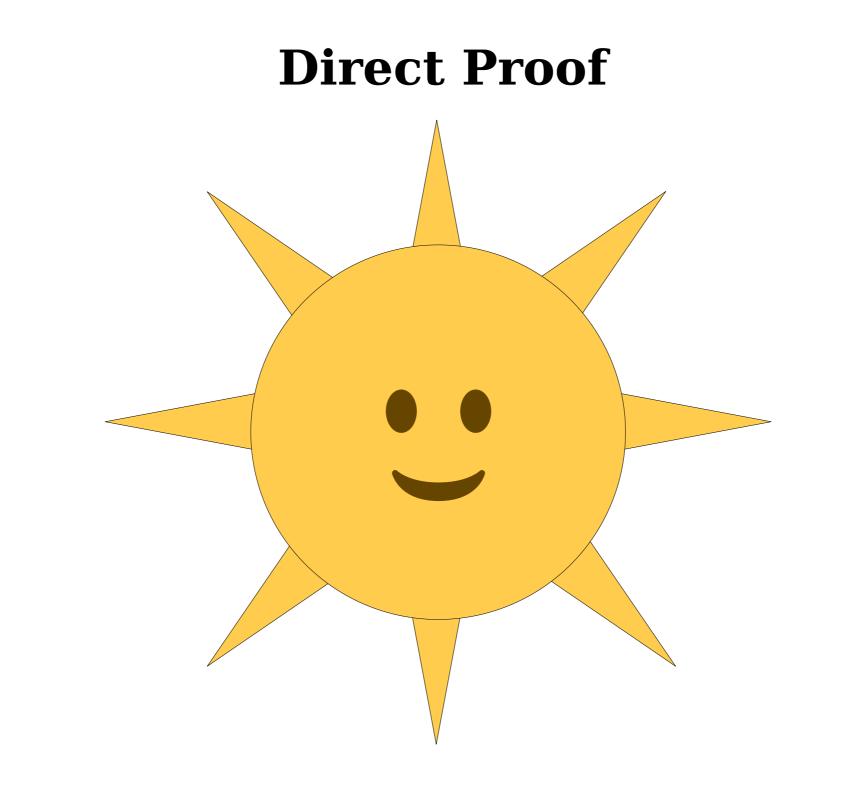
#### **Direct Proof** there is some $k \in \mathbb{Z}$ $n^2$ is even such that n = 2kn is even there is some $m \in \mathbb{Z}$ $n^2 = (2k)^2$ (namely, $2k^2$ ) where $n^2 = 2m$ $n^2 = 4k^2$ $n^2 = 2(2k^2)$



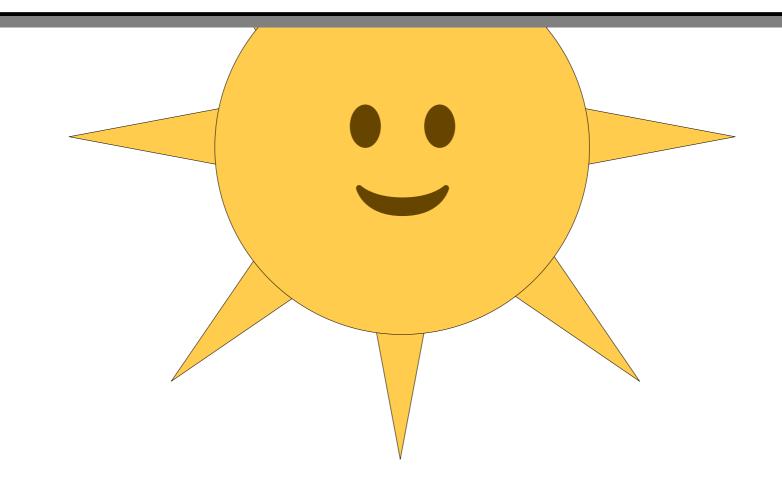




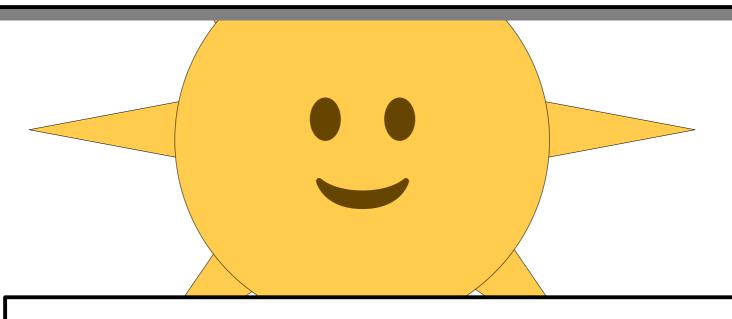




Key Takeaway: When we apply sound logic to true statements...

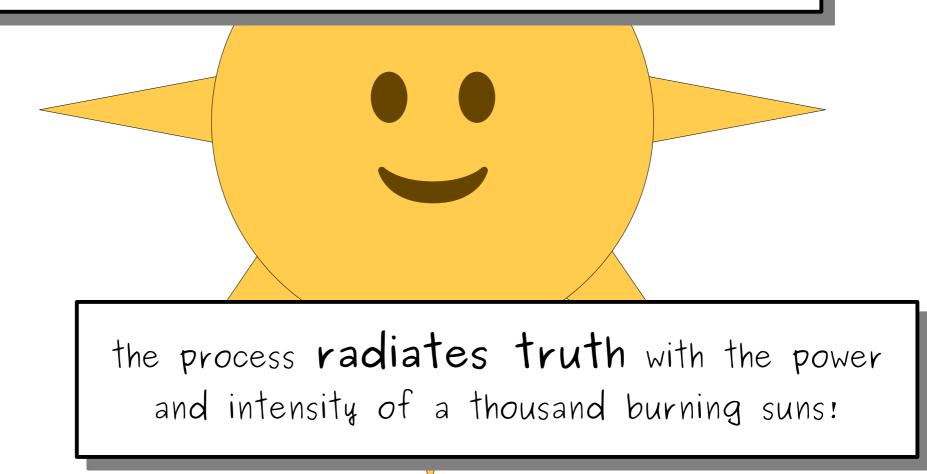


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the process radiates truth with the power and intensity of a thousand burning suns!

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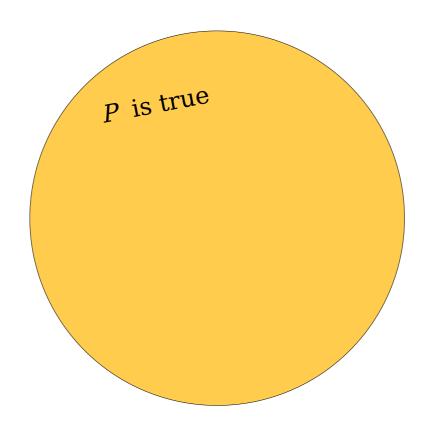


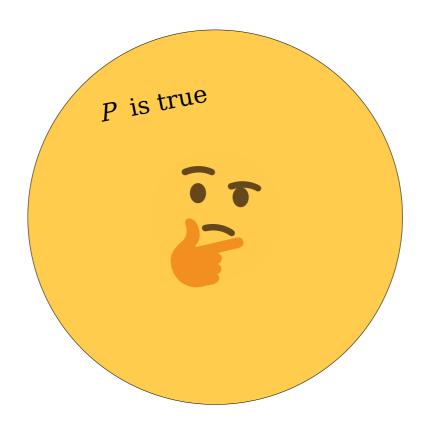
Okay, but...

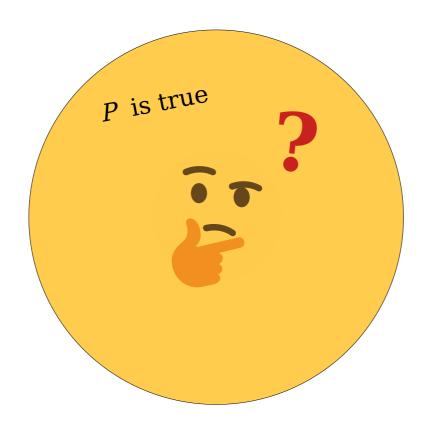
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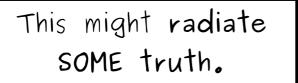
#### what if we start with a proposition

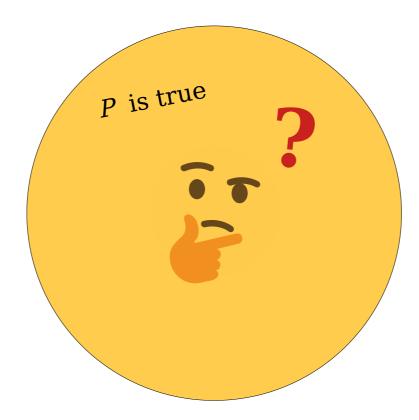
#### whose **truthiness** is **unknown** to us?



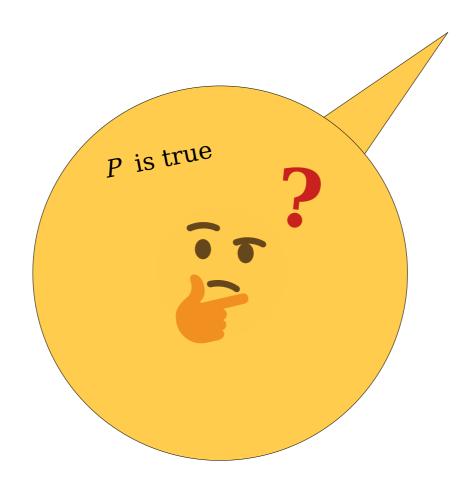


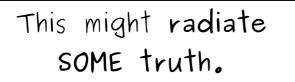


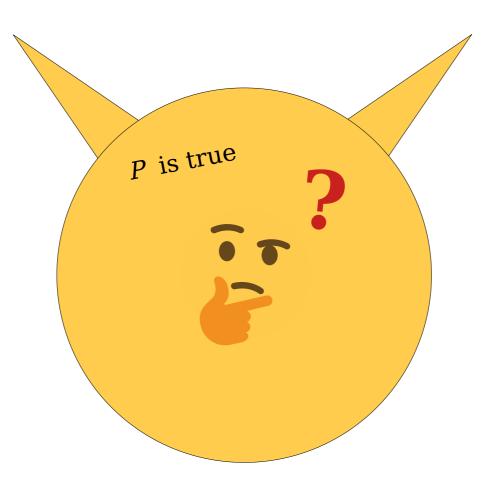


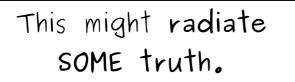


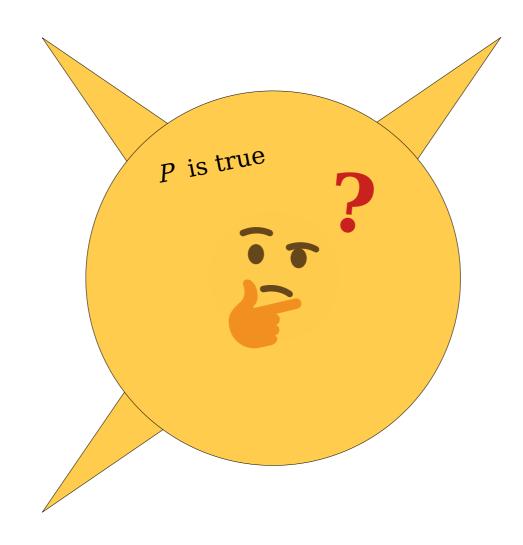


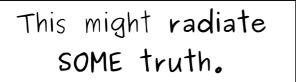


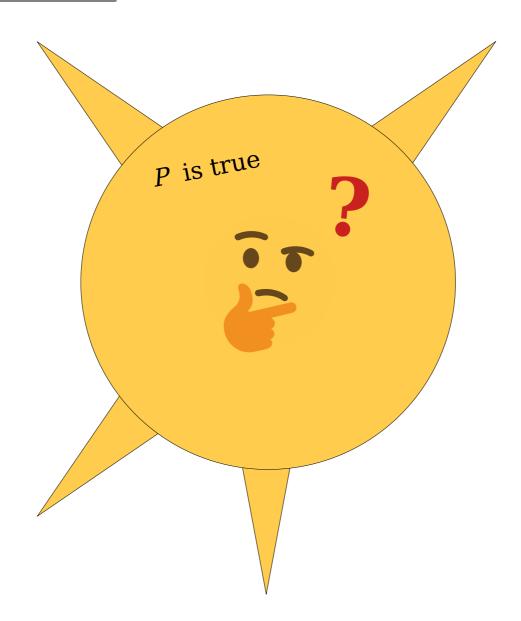


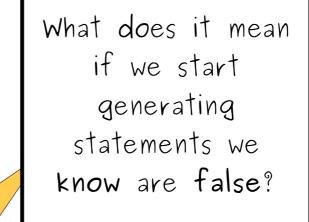






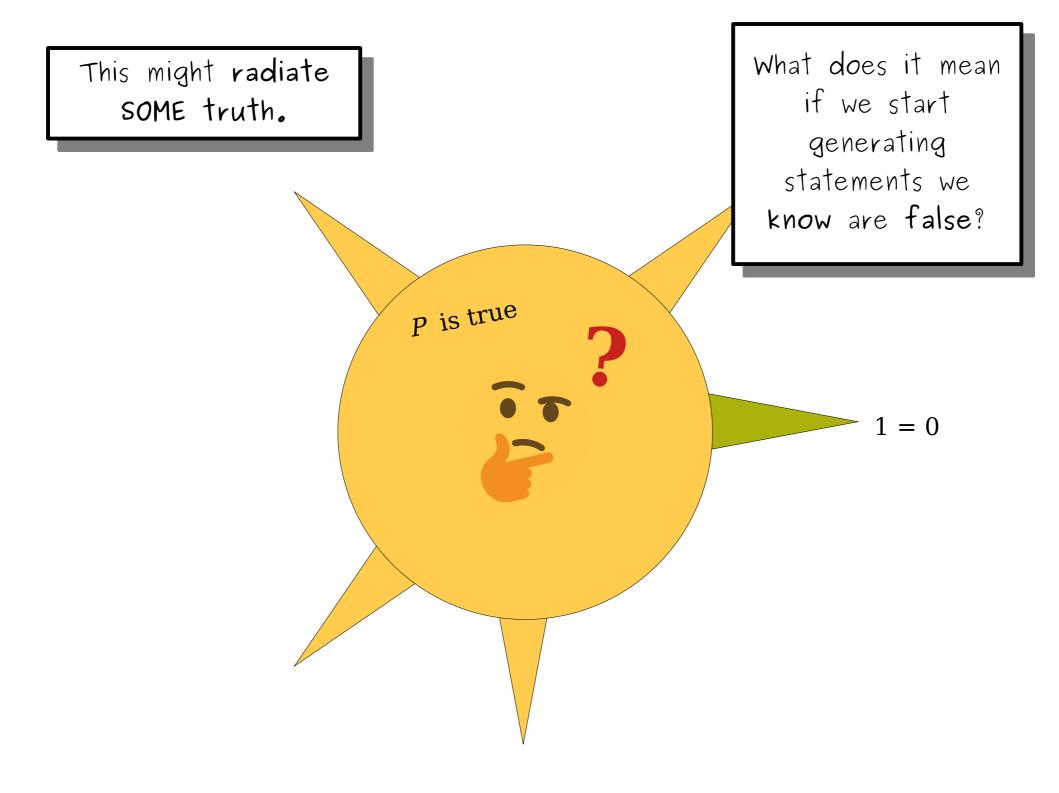


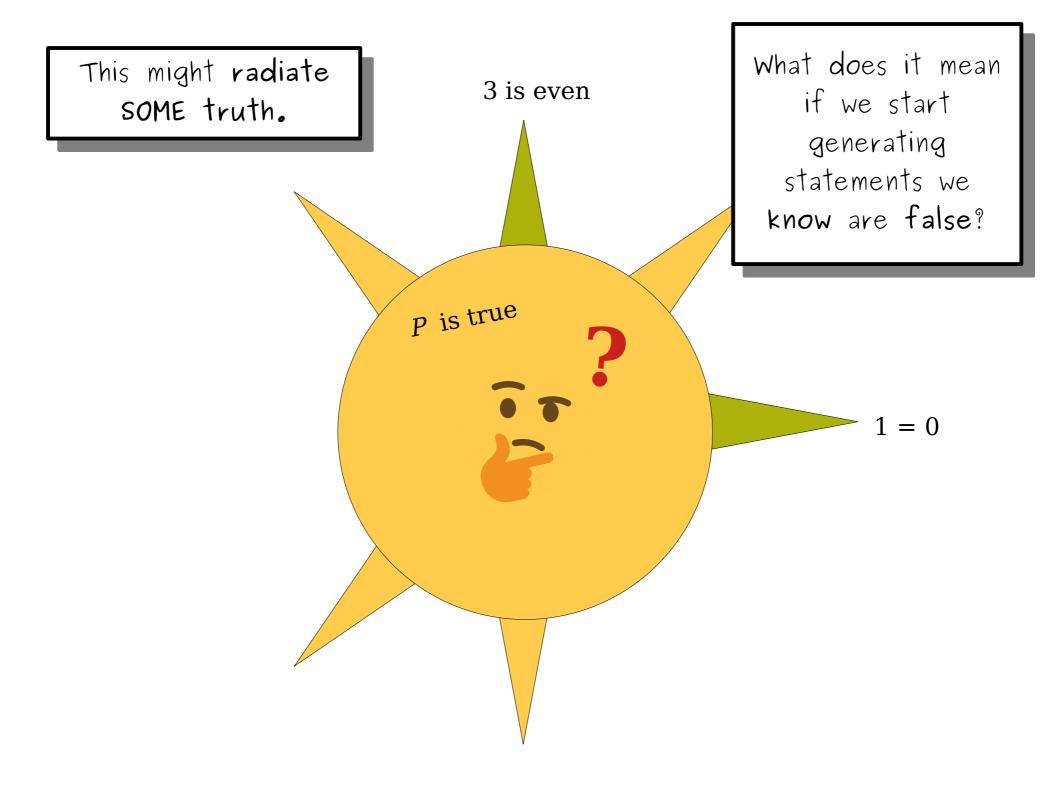


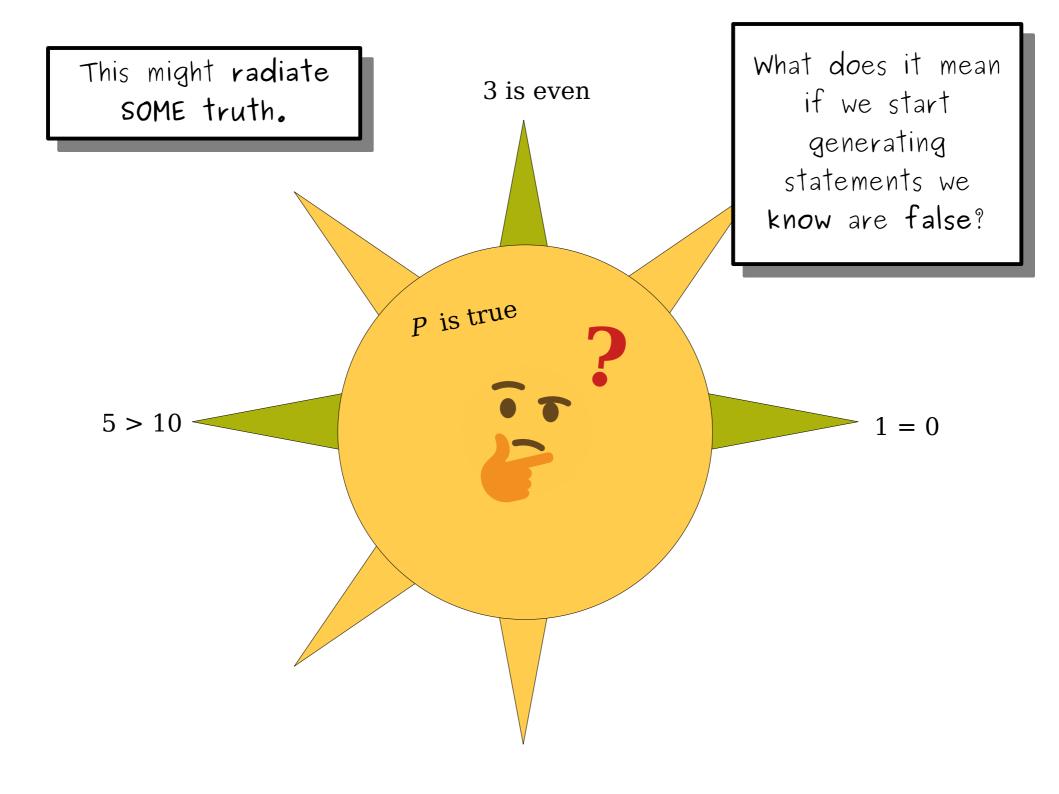


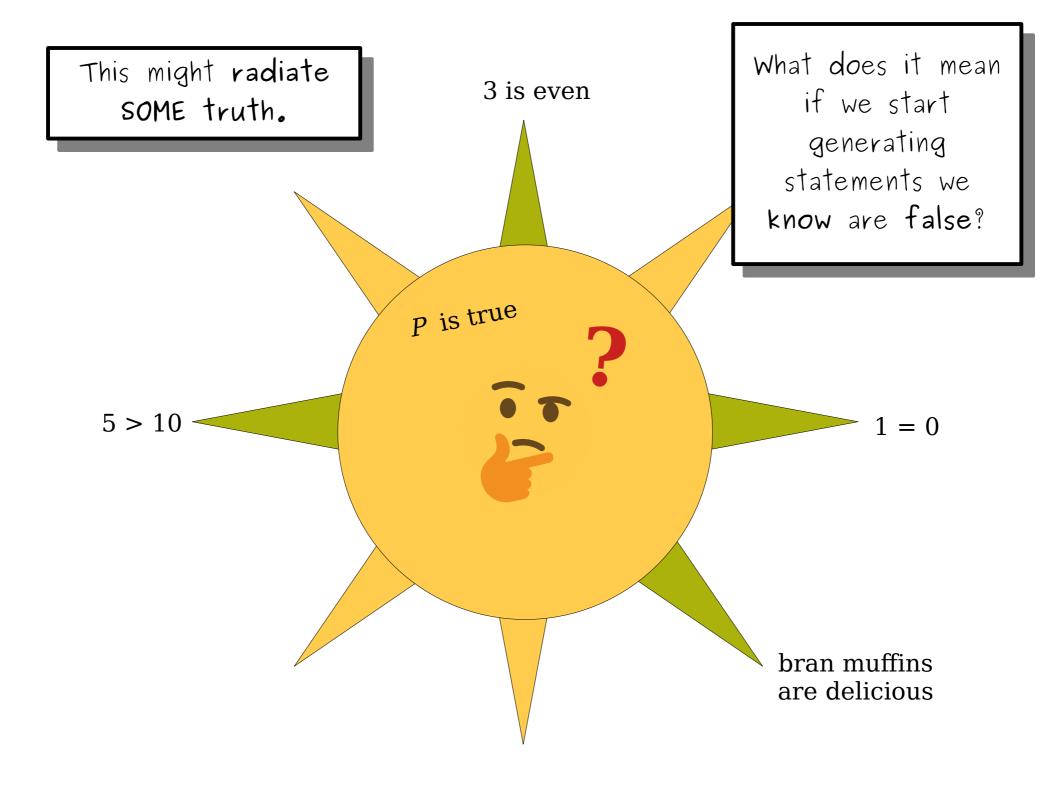
This might radiate SOME truth.

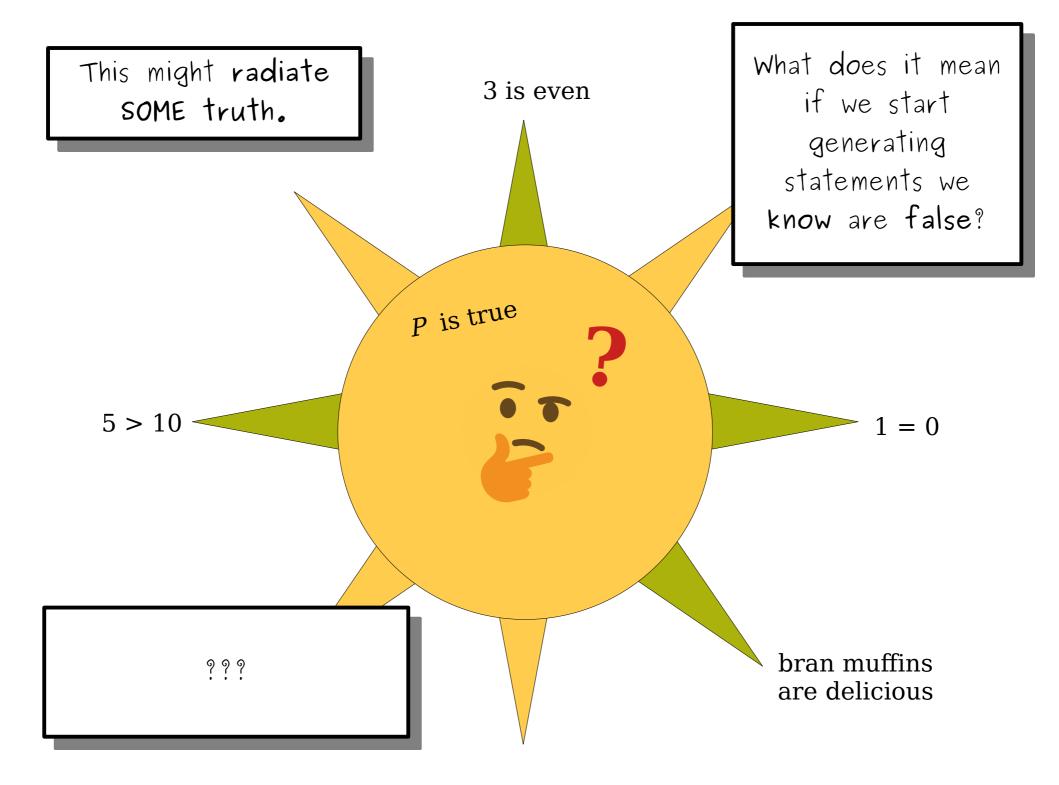
P is true

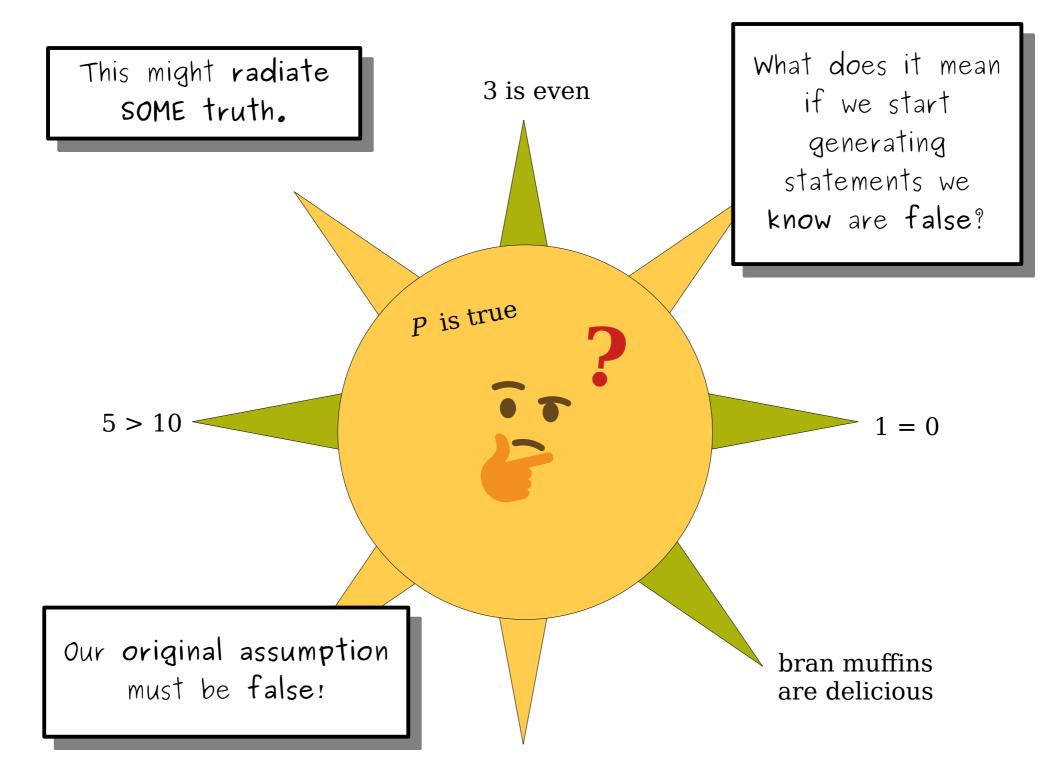


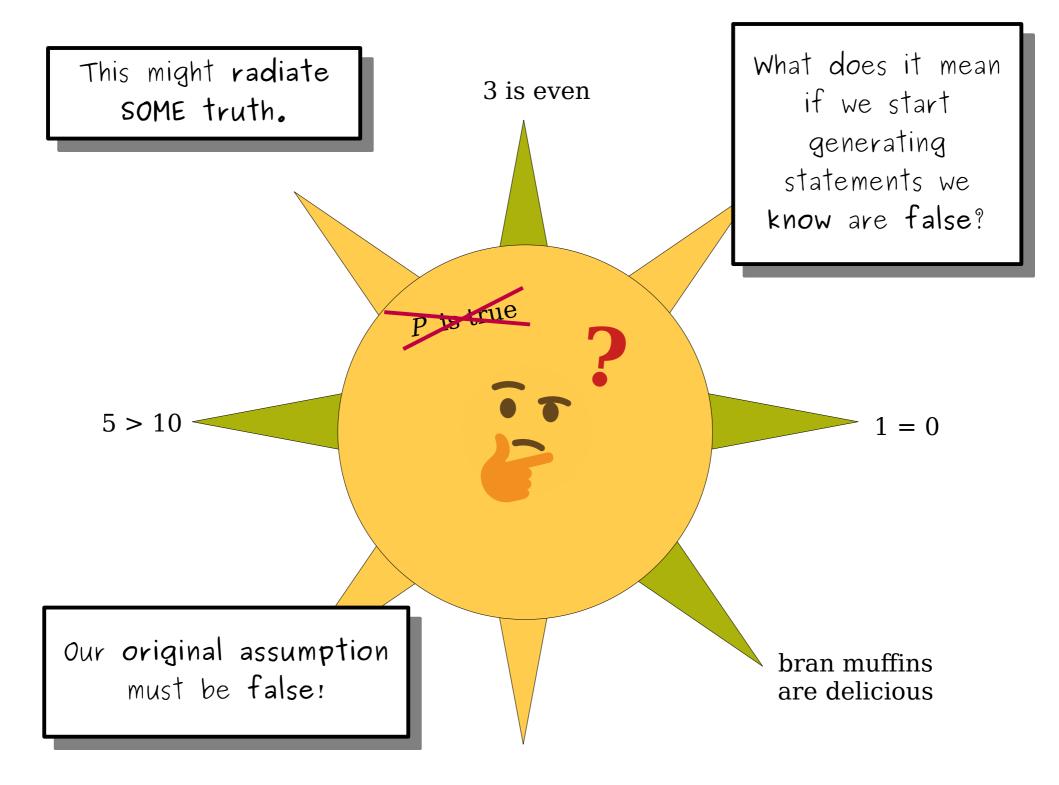


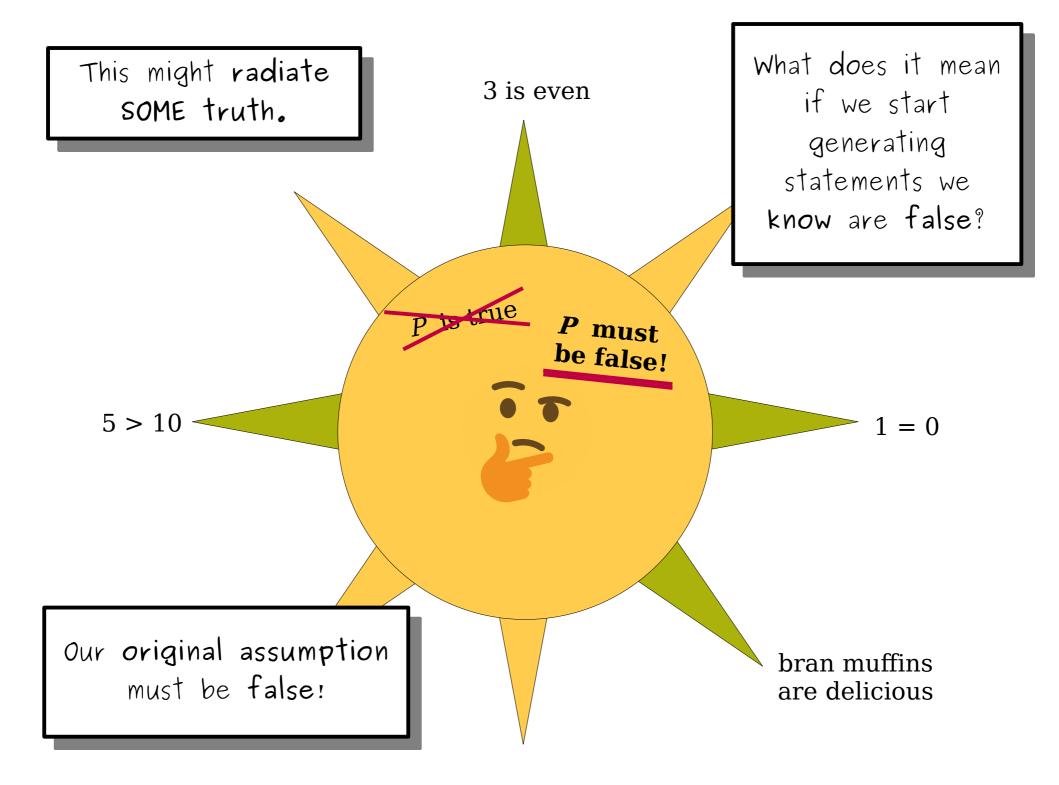


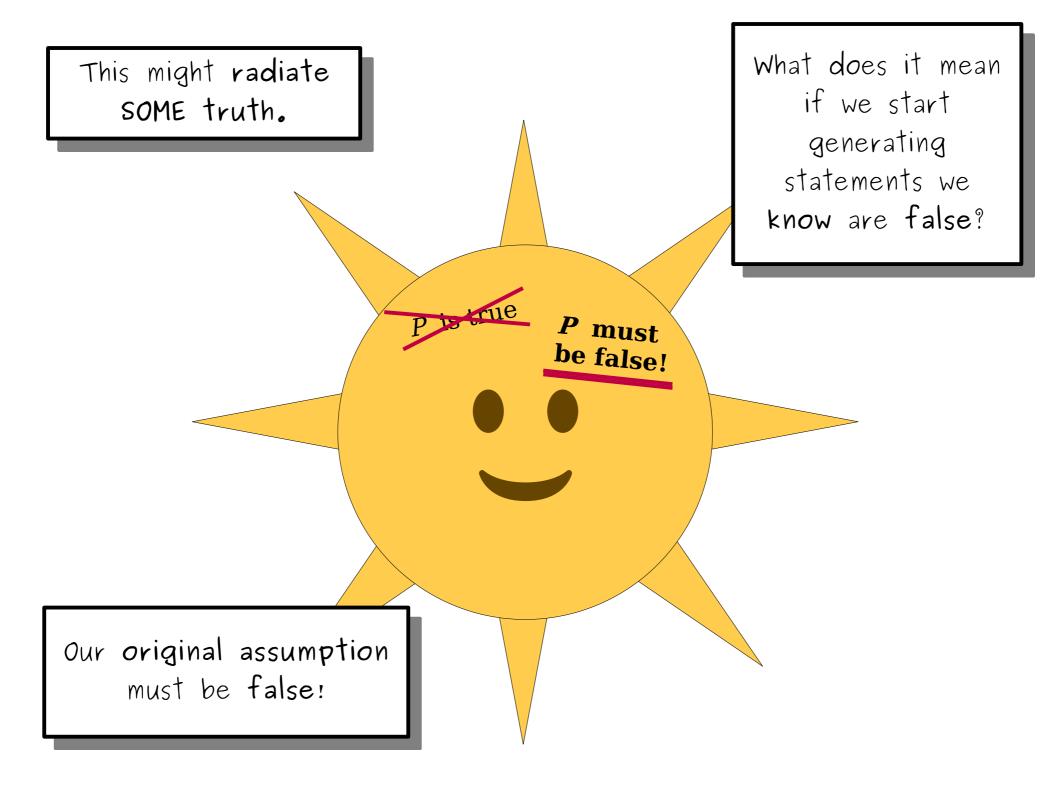




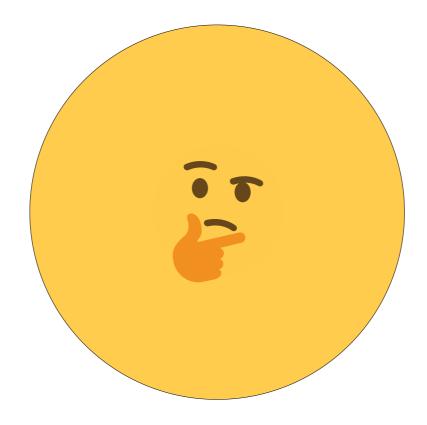




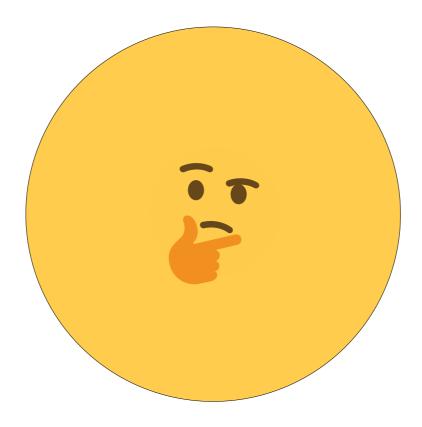




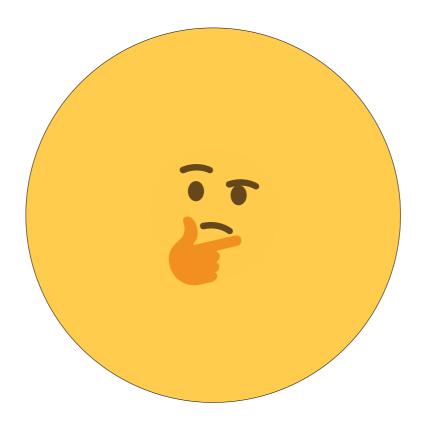
# This gives rise to a powerful proof technique called **proof by contradiction**!





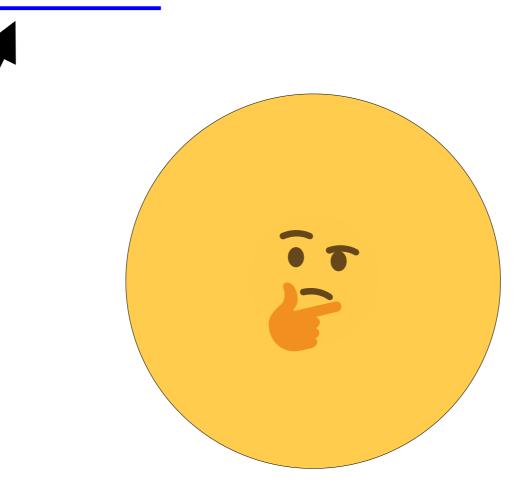


Suppose we want to use this technique to show that *P* is **true**. What proposition can we place in the Zone of Uncertainty to accomplish this? **Answer:** The negation of *P* !



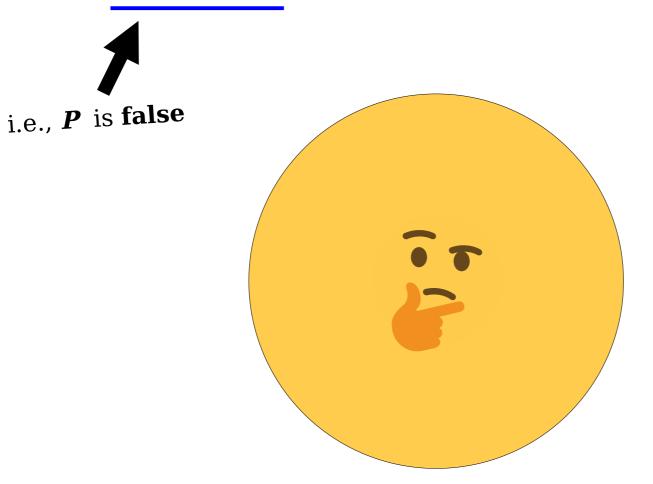
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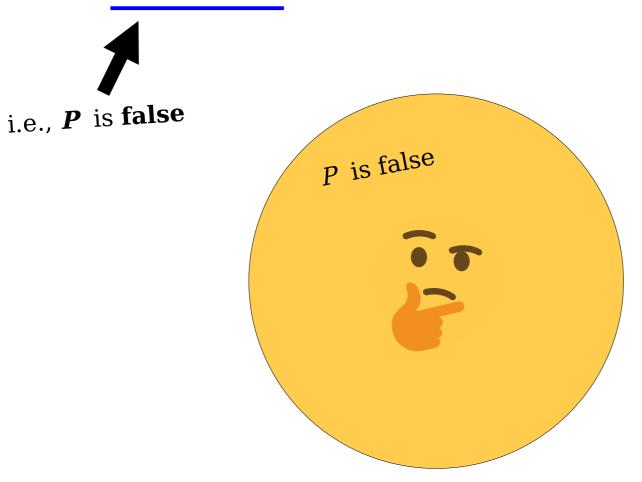
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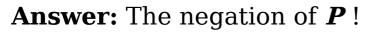
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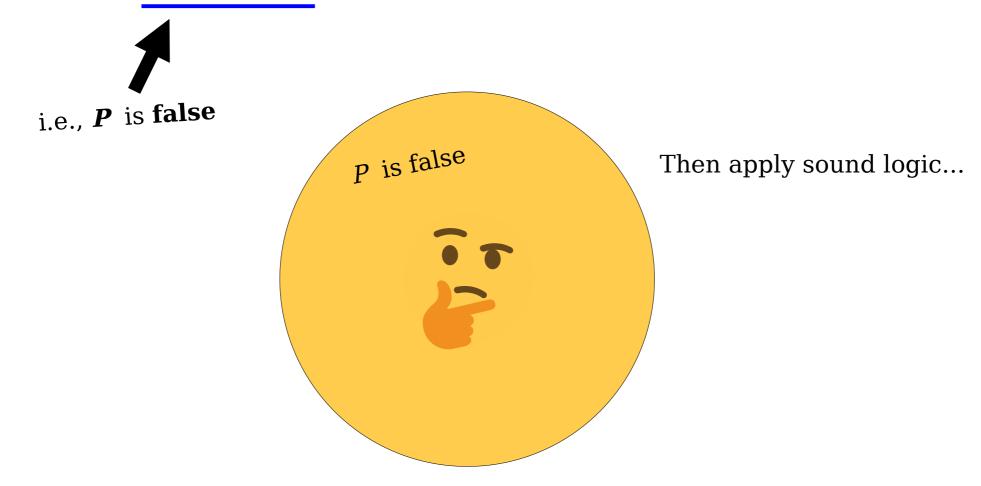


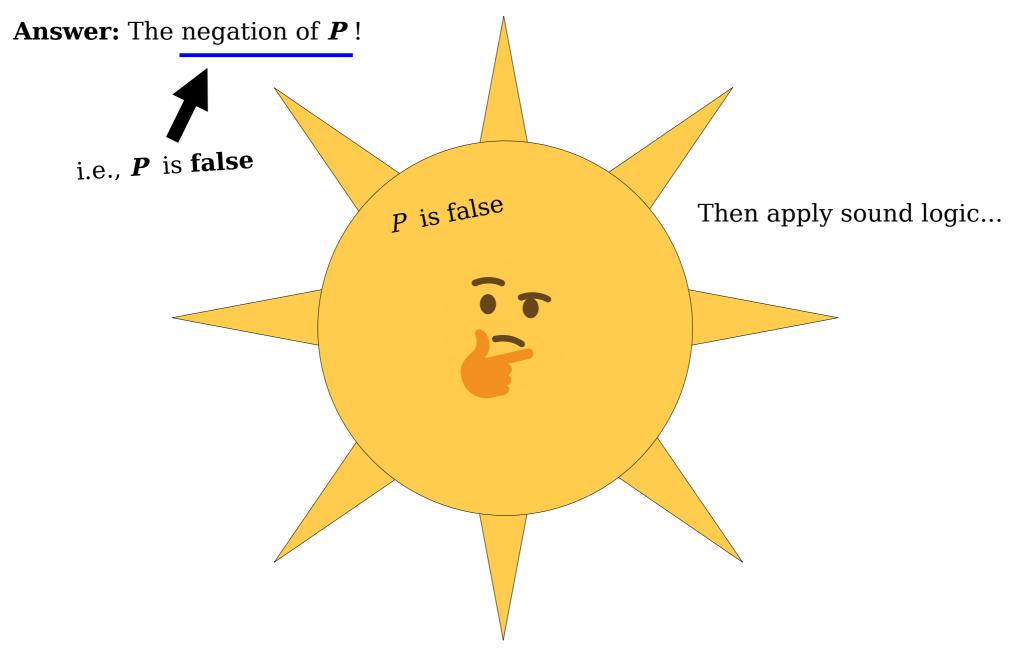
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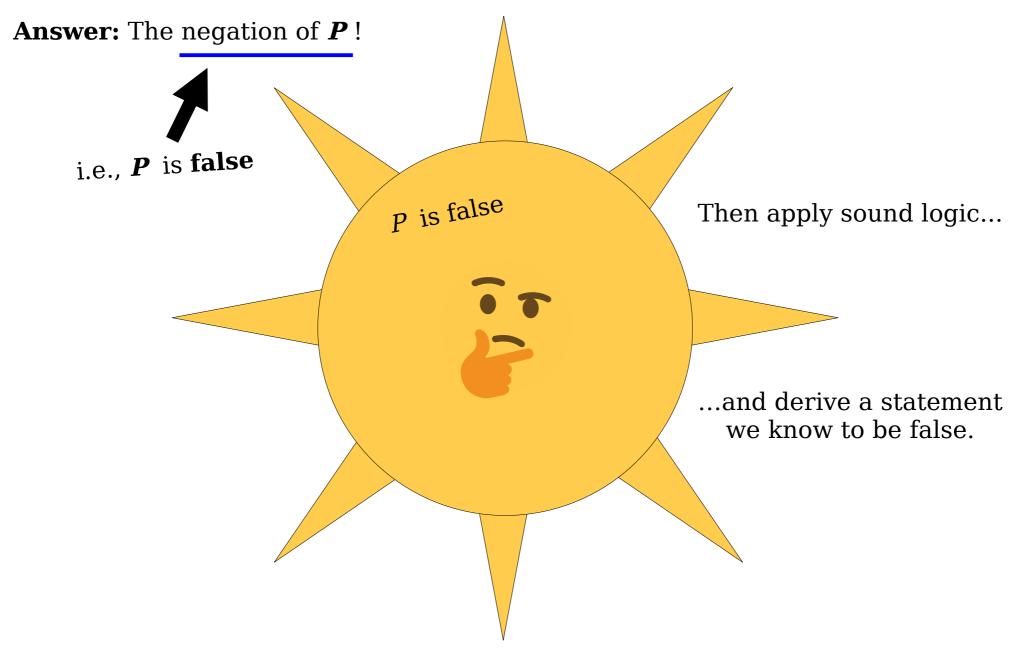
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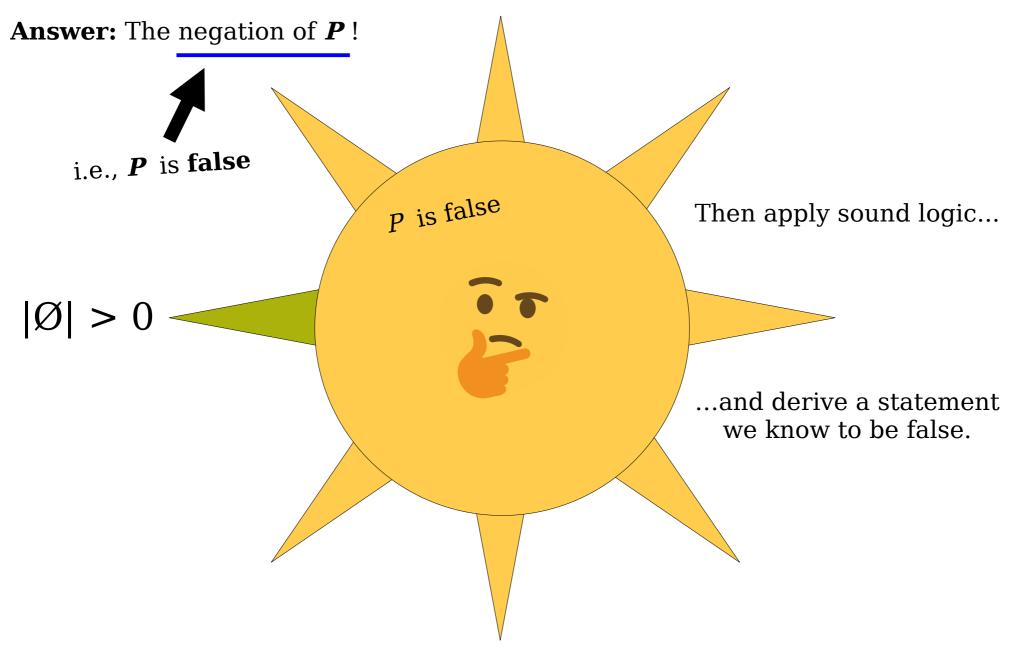


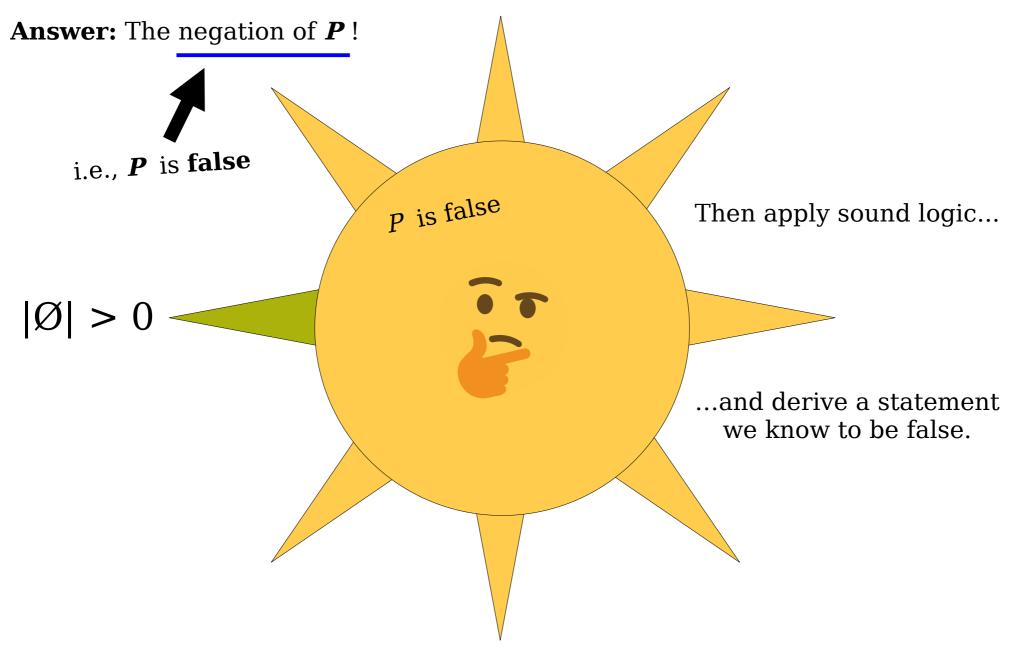


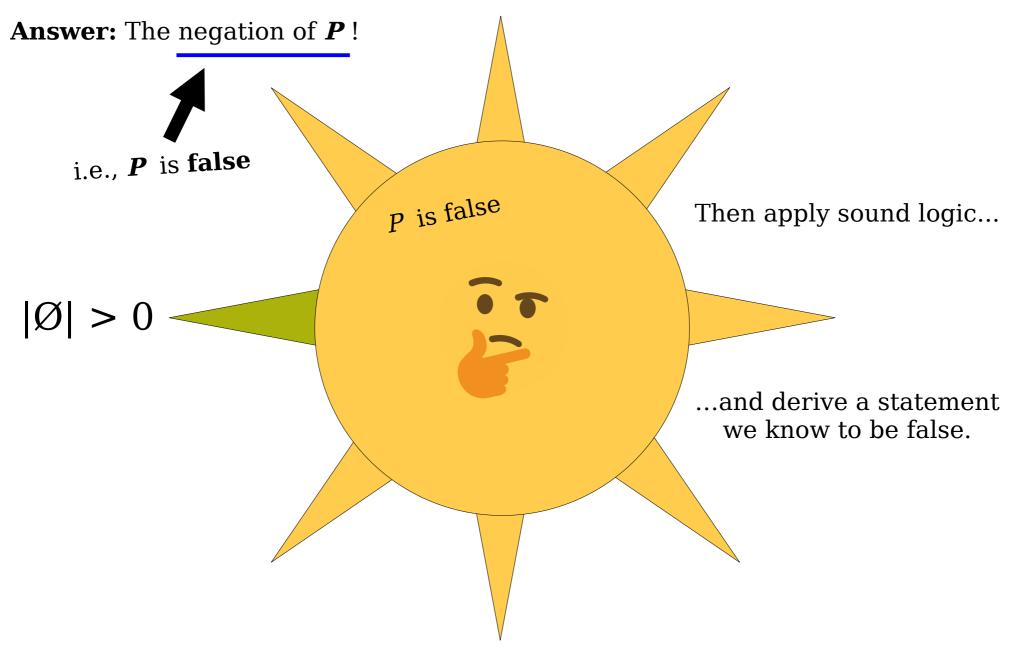


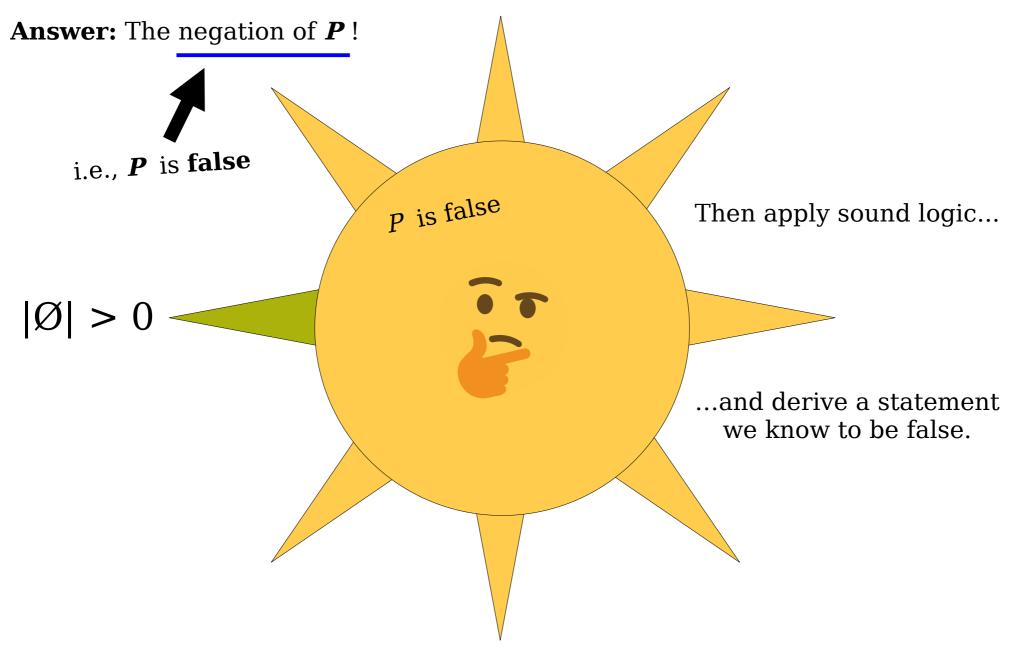


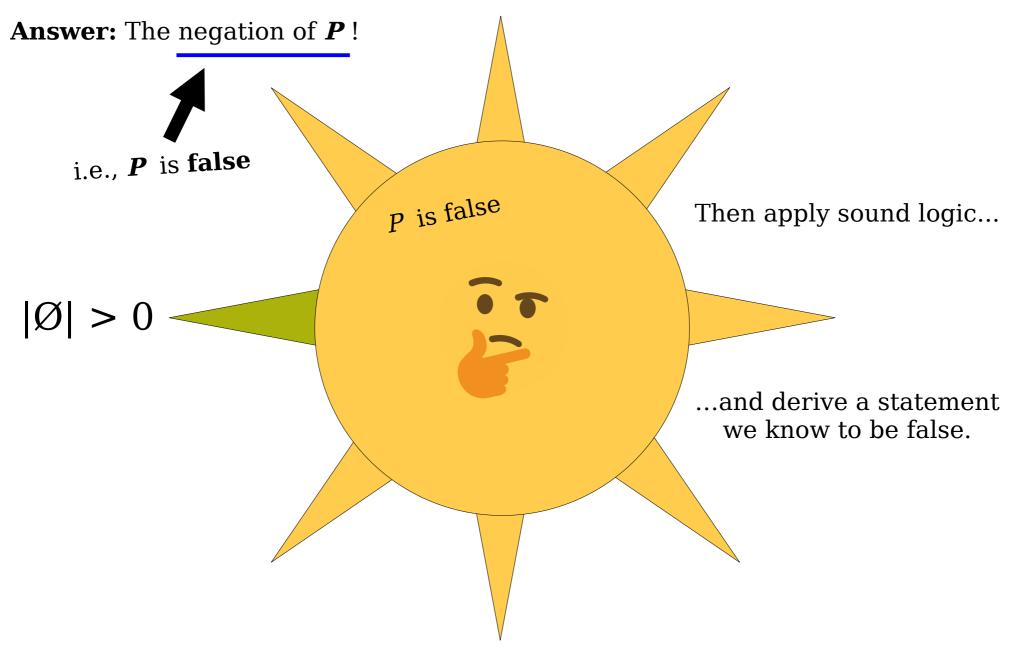


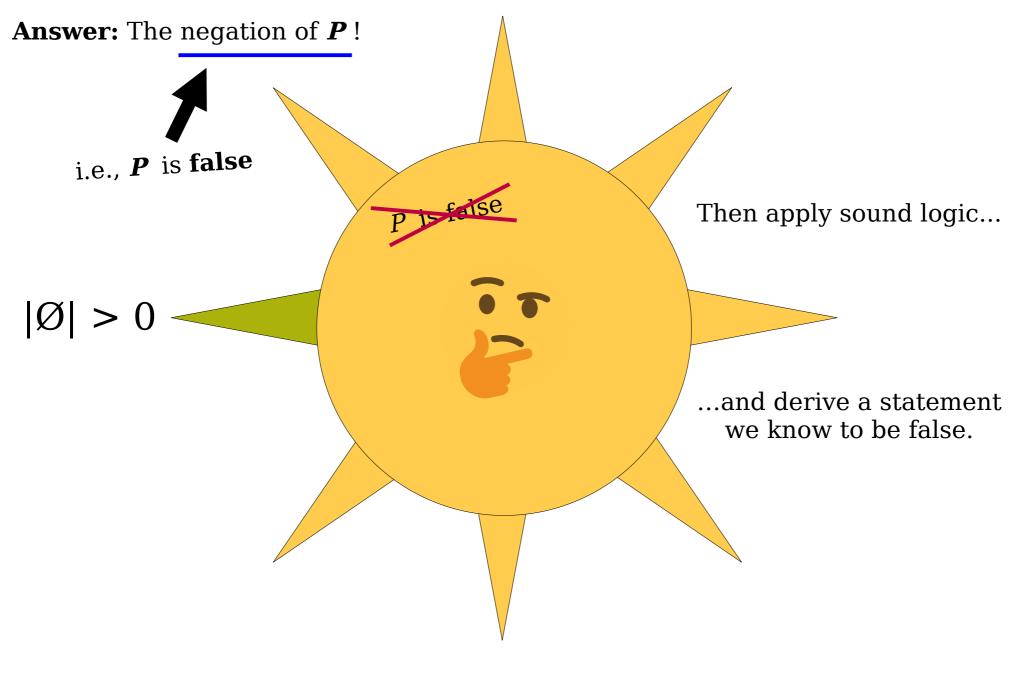


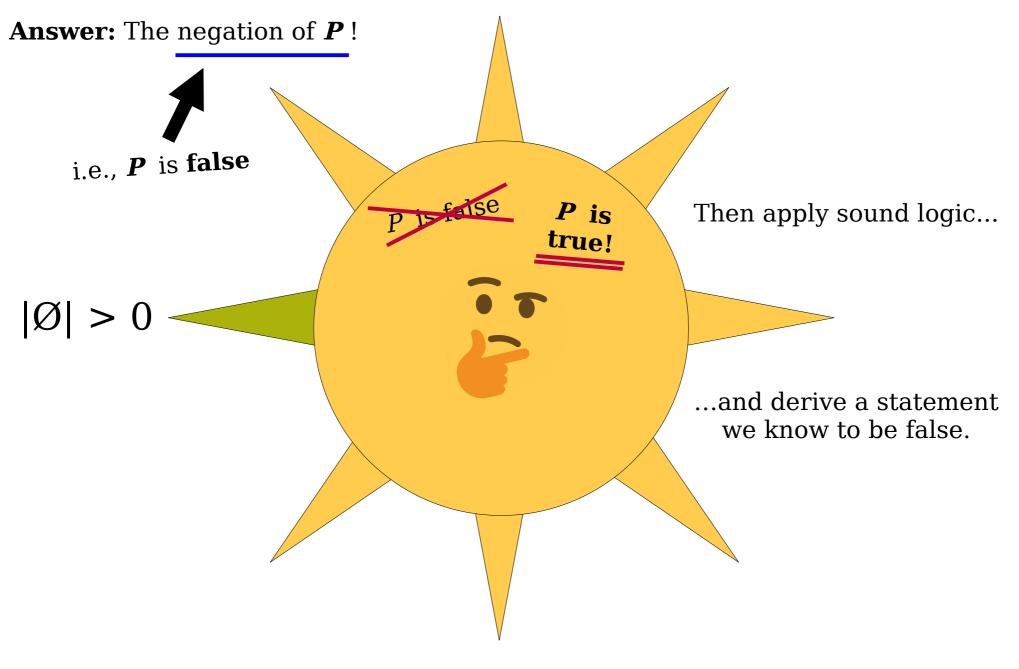


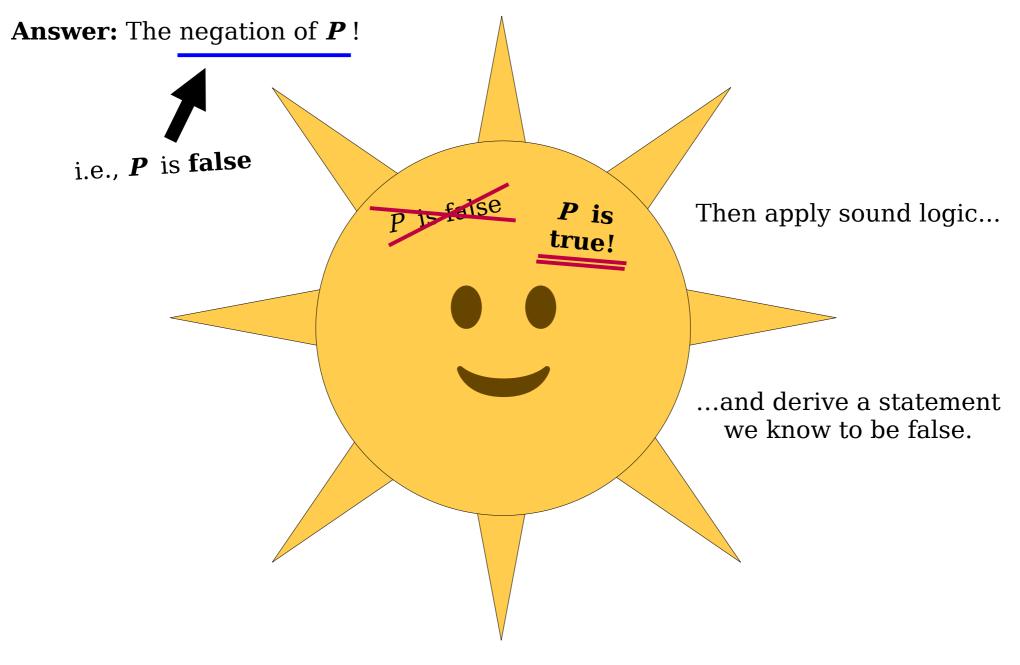












#### **Summary: Proof by Contradiction**

- *Key Idea:* Prove a statement *P* is true by showing that it isn't false.
- First, assume that *P* is false. The goal is to show that this assumption is silly.
- Next, show this leads to an impossible result.
  - For example, we might have that 1 = 0, that  $x \in S$  and  $x \notin S$ , that a number is both even and odd, etc.
- Finally, conclude that since *P* can't be false, we know that *P* must be true.

#### An Example: *Set Cardinalities*

#### Set Cardinalities

- We've seen sets of many different cardinalities:
  - $|\emptyset| = 0$
  - $|\{1, 2, 3\}| = 3$
  - $|\{ n \in \mathbb{N} \mid n < 137 \}| = 137$
  - $|\mathbb{N}| = \mathfrak{K}_0.$
  - $|_{\wp}(\mathbb{N})| > |\mathbb{N}|$
- These span from the finite up through the infinite.
- *Question:* Is there a "largest" set? That is, is there a set that's bigger than every other set?

To prove this statement by contradiction, we're going to assume its negation.

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What is the negation of the statement "there is no largest set?"

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One option: "there is a largest set."

**Proof:** Assume for the sake of contradiction that there is a largest set; call it *S*.

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Notice that we're announcing

that this is a proof by contradiction, and
 what, specifically, we're assuming.

This helps the reader understand where we're going. Remember - proofs are meant to be read by other people!

**Proof:** Assume for the sake of contradiction that there is a largest set; call it *S*.

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Now, consider the set  $\wp(S)$ .

**Proof:** Assume for the sake of contradiction that there is a largest set; call it *S*.

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- 1. Say that the proof is by contradiction.
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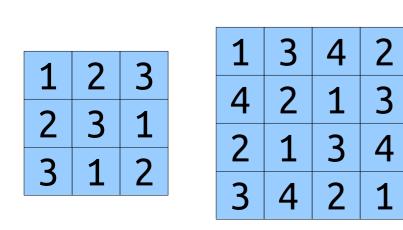
In CS103, please include all these steps in your proofs!

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#### Another Example

• A *Latin square* is an *n* × *n* grid filled with the numbers 1, 2, ..., *n* such that every number appears in each row and each column exactly once.

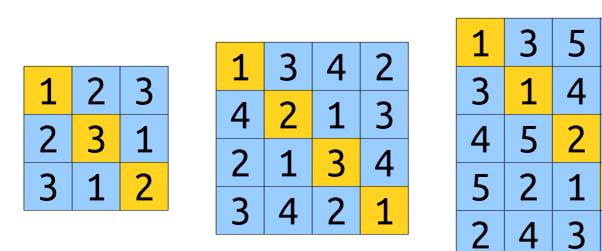


1	3	5	2	4
3	1	4	5	2
4	5	2	3	1
5	2	1	4	3
2	4	3	1	5

3	2	1	4	5	6
2	4	6	1	3	5
5	6	4	3	2	1
4	1	5	2	6	3
6	3	2	5	1	4
1	5	3	6	4	2

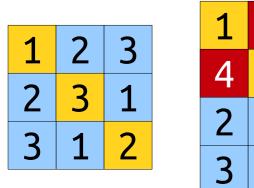
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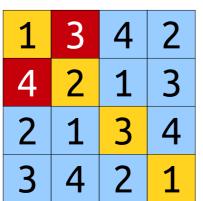
• The *main diagonal* of a Latin square runs from the top-left corner to the bottom-right corner.

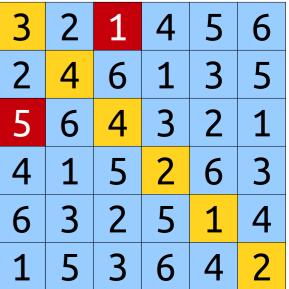


3	2	1	4	5	6
2	4	6	1	3	5
5	6	4	3	2	1
4	1	5	2	6	3
6	3	2	5	1	4
1	5	3	6	4	2

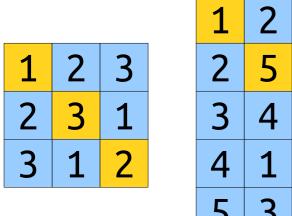
- A *Latin square* is an *n* × *n* grid filled with the numbers 1, 2, ..., *n* such that every number appears in each row and each column exactly once.
- The *main diagonal* of a Latin square runs from the top-left corner to the bottom-right corner.
- A Latin square is *symmetric* if the numbers are symmetric across the main diagonal.



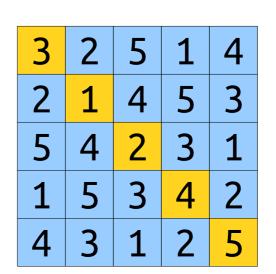


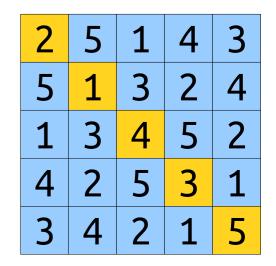


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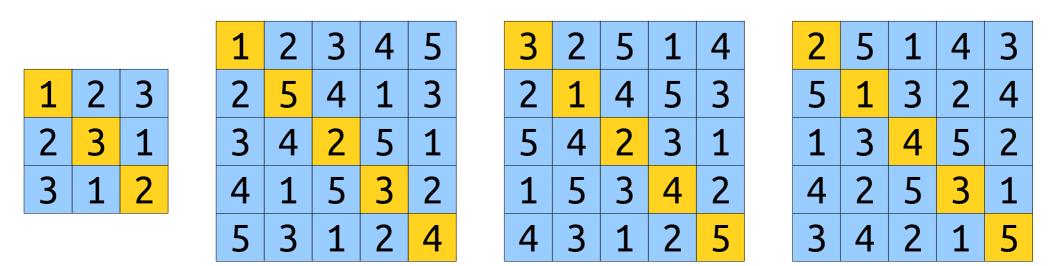


1	2	3	4	5
2	5	4	1	3
3	4	2	5	1
4	1	5	3	2
5	3	1	2	4





- Notice anything about what's on the main diagonals of these symmetric Latin squares?
- **Theorem:** Every odd-sized symmetric Latin square has every number 1, 2, ..., *n* on its main diagonal.



**Proof:** 

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What is the negation of the theorem?

Every symmetric Latin square of odd size n × n has each of the numbers 1, 2, ..., n on its main diagonal.

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*Every symmetric Latin square of odd size n × n has each of the numbers 1, 2, ..., n on its main diagonal.* 

One option:

There is a symmetric Latin square of odd size n × n that does not have one of the numbers 1, 2, ..., n on its main diagonal.

**Proof:** Assume for the sake of contradiction that there is a symmetric Latin square of odd size  $n \times n$  that does not have one of the numbers 1, 2, 3, ..., n on its main diagonal.

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Notice that we're announcing

that this is a proof by contradiction, and
 what, specifically, we're assuming.

This helps the reader understand where we're going. Remember - proofs are meant to be read by other people!

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Let k be the number of times r appears above the main diagonal. Since the Latin square is symmetric, there are also k copies of r below the main diagonal. And because r doesn't appear on the main diagonal, that accounts for all copies of r, so there are exactly 2k copies of r.

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In CS103, please include all these steps in your proofs!

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(Intermission)

#### Time-Out for Announcements!

#### Problem Set One

- Problem Set One goes out today. It's due next Friday at 1:00PM.
  - Explore the language of set theory and better intuit how it works.
  - Learn more about the structure of mathematical proofs.
  - Write your first "freehand" proofs based on your experiences.
- As always, start early, and reach out if you have any questions!

# Office Hours

- It is *completely normal* in this class to need to get help from time to time.
- Feel free to ask clarifying and conceptual questions on EdStem.
- Need more structured help? We have office hours! Feel free to stop on by.
  - Check out the online "Guide to Office Hours" for more information about how our office hours system works.
  - The OH calendar will soon be available on the course website.
- Office hours start this Sunday.

# Vaccines!

- It's Vaccine Season! Yay! What a great way to protect yourself and others.
- You can get a free flu shot through Vaden. Details are at this link:

#### https://ehs.stanford.edu/flu/information

• Stanford Health Care offers free bivalent COVID booster vaccines. Use this link to create an account to sign up:

#### https://myhealth.stanfordhealthcare.org/

 Santa Clara County (where Stanford is located) also offers flu shots, COVID vaccines, and COVID boosters. Details and appointments here:

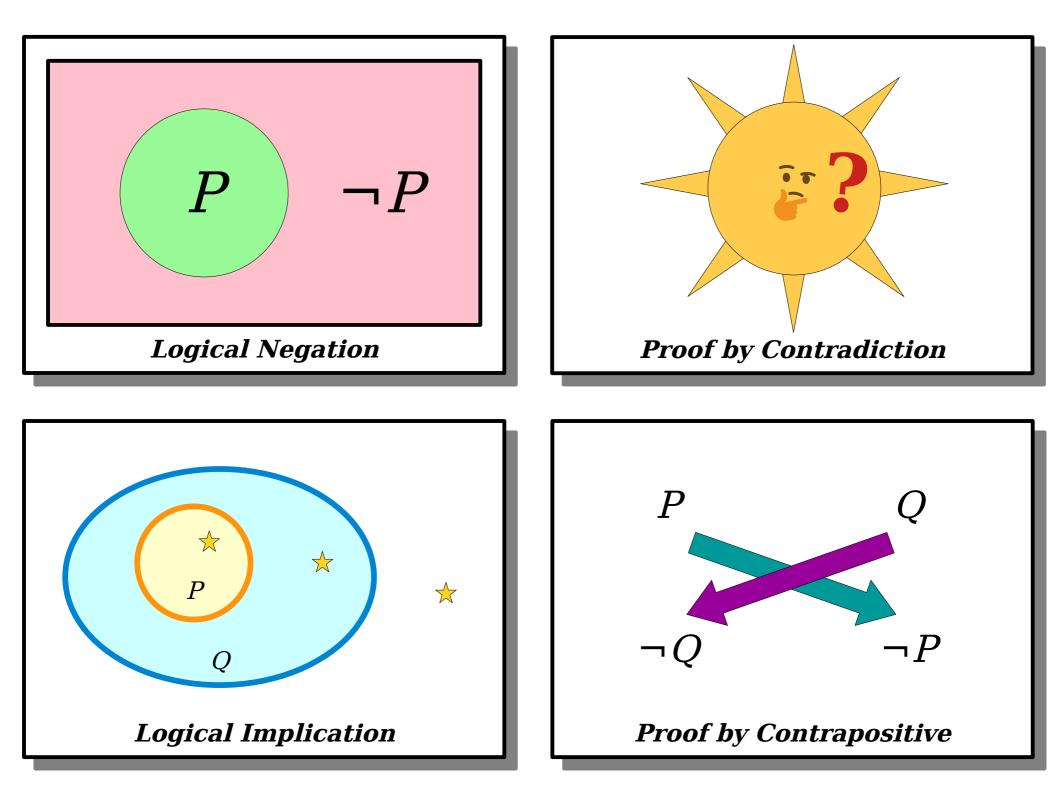
https://vax.sccgov.org/

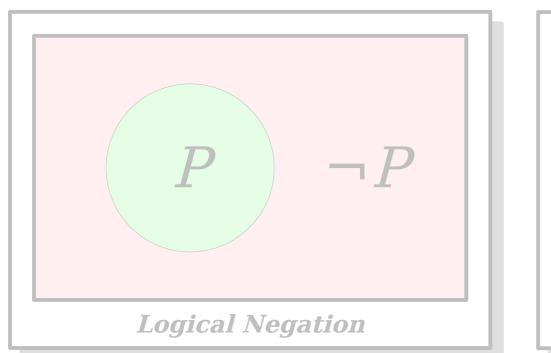
# Readings for Today

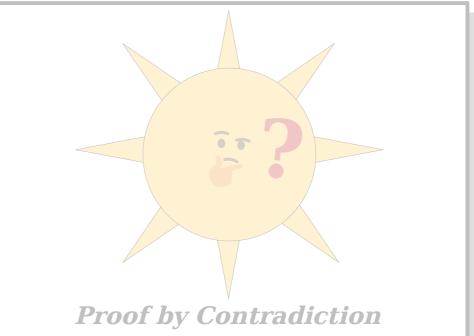
- On the course website we have some information you should look over.
- First is the *Proofwriting Checklist*. It contains information about style expectations for proofs. We'll be using this when grading, so be sure to read it over.
- Next is the *Guide to Office Hours*, which talks about how our office hours work and how to make the most effective use of them.
- Finally is the *Guide to LaTeX*, which explains how to use LaTeX to typeset your problem sets in a way that's so beautiful it will bring tears to your eyes.

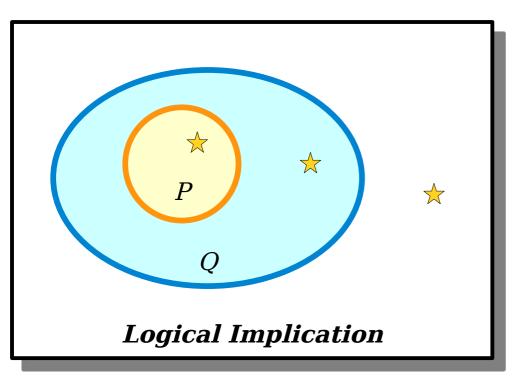
(the lights flash in the atrium)

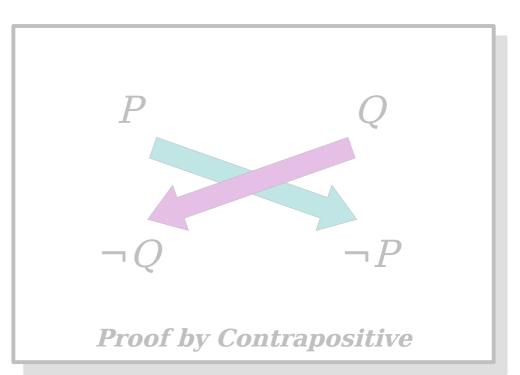
### Back to CS103!





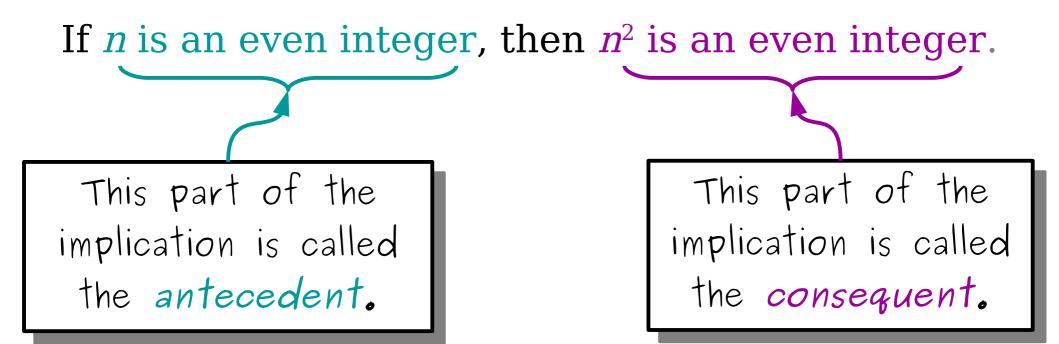






#### Act III

# Logical Implication



If *m* and *n* are odd integers, then m+n is even.

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If you like the way you look that much, then you should go and love yourself.

### **Another Example**

If a flying pig bursts into the room and sings a pitch-perfect version of the national anthem, then Sean will throw cookies to the class.

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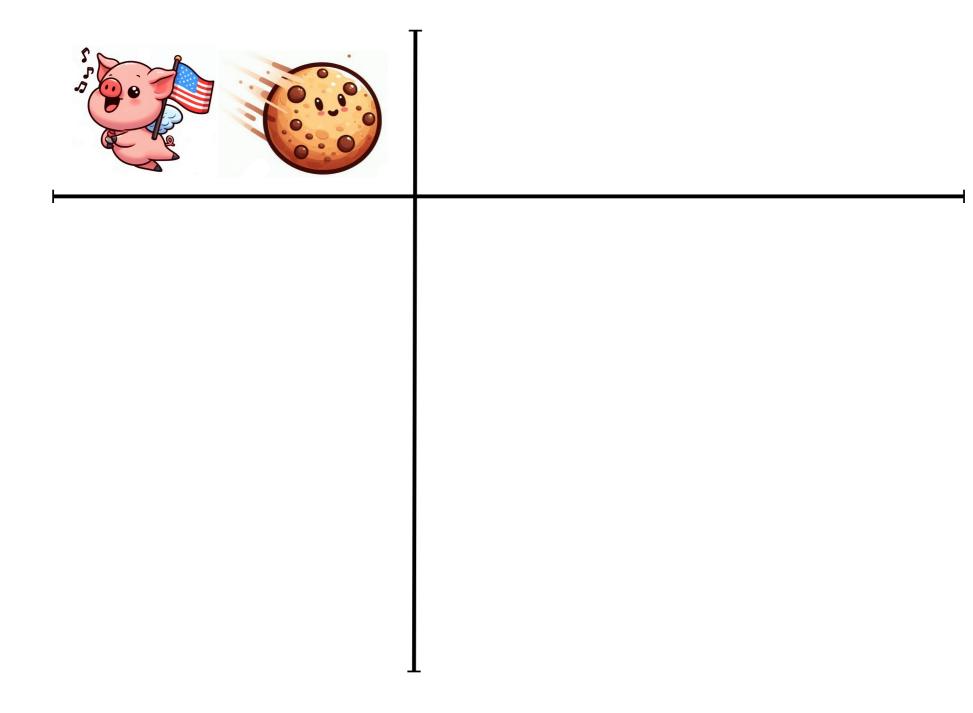
Let's explore the definition and nature of implication through this example:

If a flying pig bursts into the room and sings a pitch-perfect version of the national anthem, then Sean will throw cookies to the class. Let's explore the definition and nature of "If **P**, then **Q**." implication through this example:

If a flying pig bursts into the room and sings a pitch-perfect version of the national anthem, then Sean will throw cookies to the class. Let's explore the definition and nature of , then implication through this example:









## What is the status of our "if vertice, then vertice" contract?



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## What is the status of our "if ver, then ver contract?

#### contract is not violated

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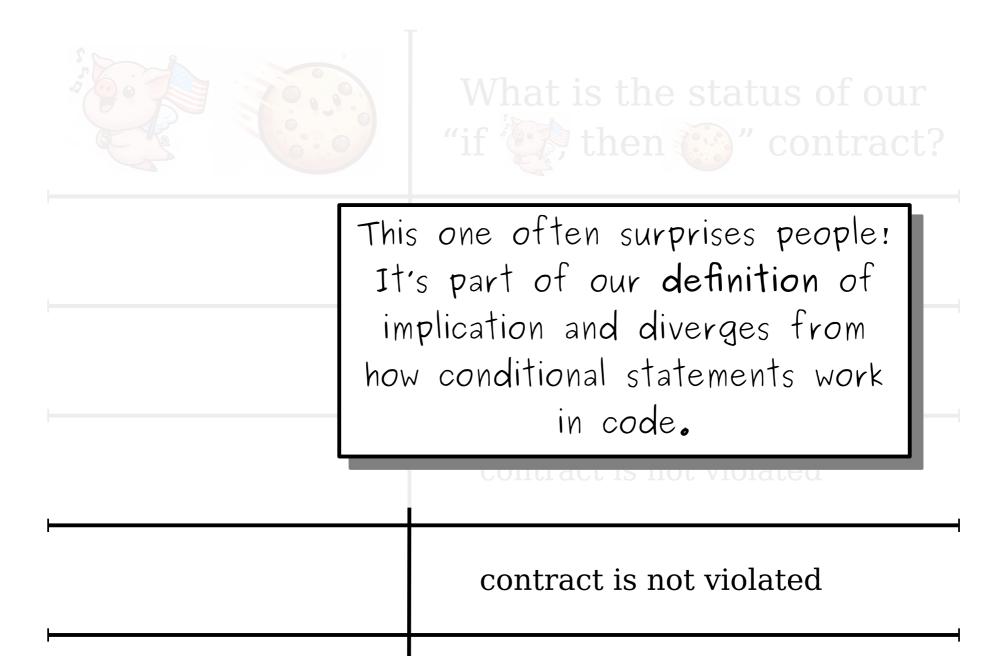
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This one reveals how the negate an implication	
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	contract <b>is</b> violated
	contract is not violated
is false is w	me "if P, then $Q^{*}$ when P is true and plated is false.

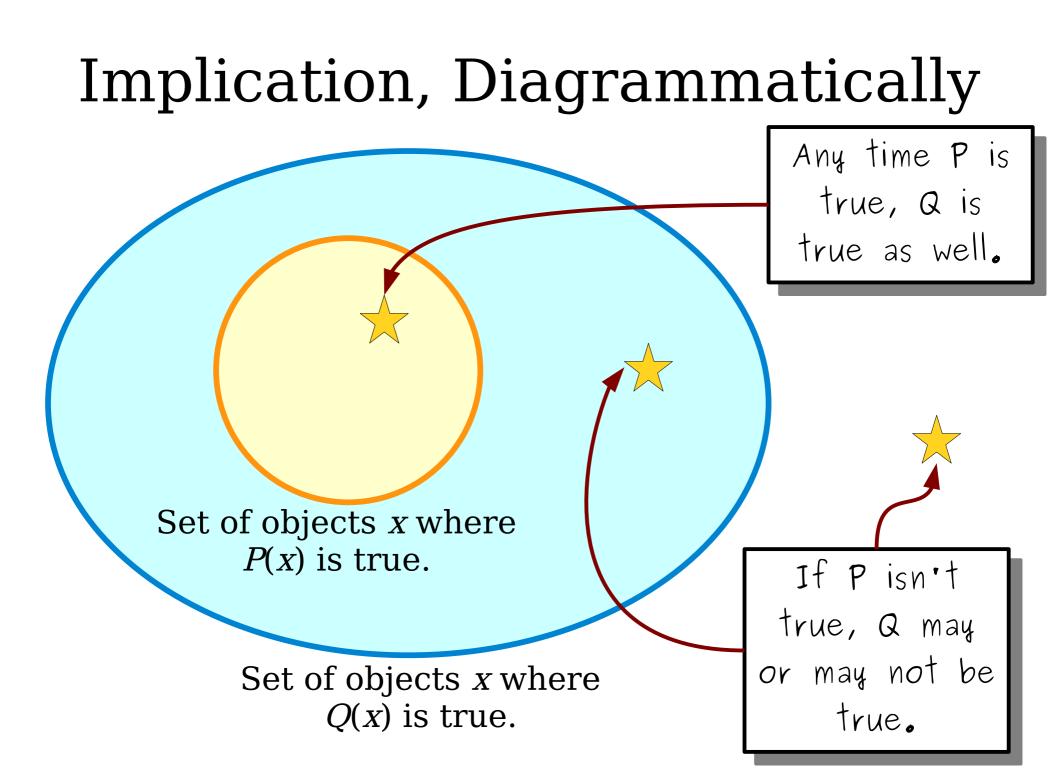
# What Implications Mean

### "If there's a rainbow in the sky, then it's raining somewhere."

- In mathematics, implication is directional.
  - The above statement doesn't mean that if it's raining somewhere, there has to be a rainbow.
- In mathematics, implications only say something about the consequent when the antecedent is true.
  - If there's no rainbow, it doesn't mean there's no rain.
- In mathematics, implication says nothing about causality.
  - Rainbows do not cause rain.

# What Implications Mean

- In mathematics, a statement of the form
  For any x, if P(x) is true, then Q(x) is true
  means that any time you find an object x
  where P(x) is true, you will see that Q(x) is
  also true (for that same x).
- There is no discussion of causation here. It simply means that if you find that P(x) is true, you'll find that Q(x) is also true.



### How do you negate an implication?



*Question:* What has to happen for this contract to be broken?

**Answer:** A flying pig sings the national anthem, but Sean doesn't throw cookies to the class.

What is the status of our "if ver, then ver contract?
contract is not violated
contract <b>is</b> violated
contract is not violated
contract is not violated

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contract is not violated

### Key take-away!

The negation of the statement

"For any x, if P(x) is true, then Q(x) is true"

is the statement

"There is at least one x where *P*(x) is true and *Q*(x) is false."

The negation of an implication is not an implication!

#### Key take-away!

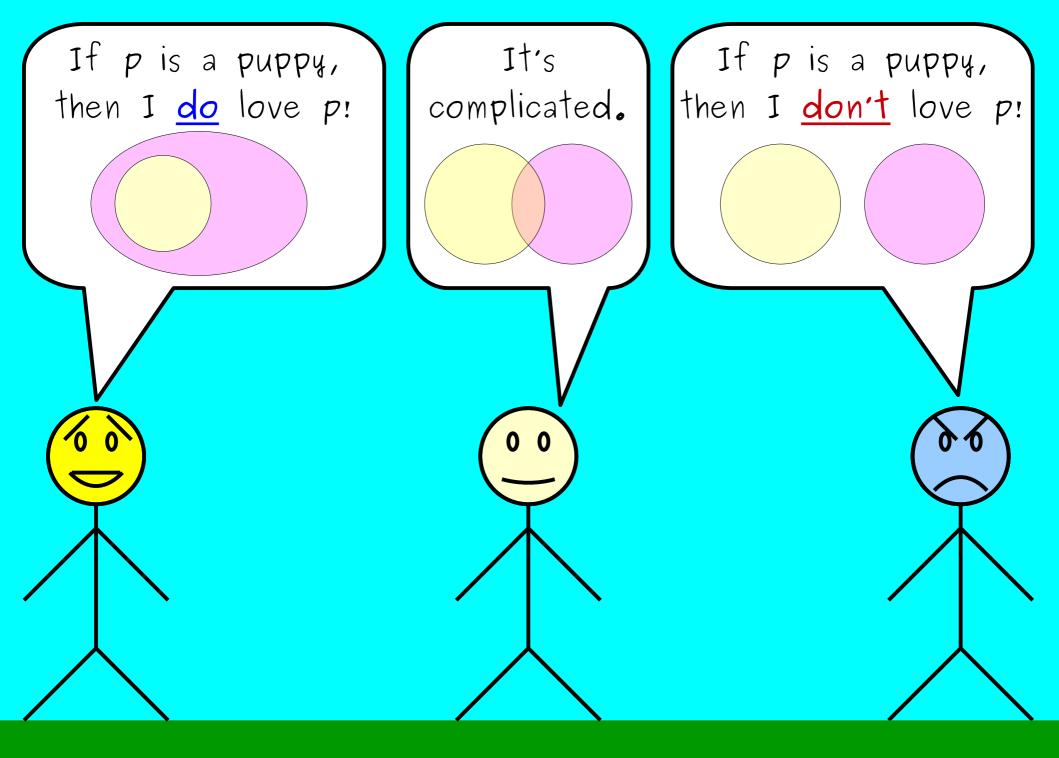
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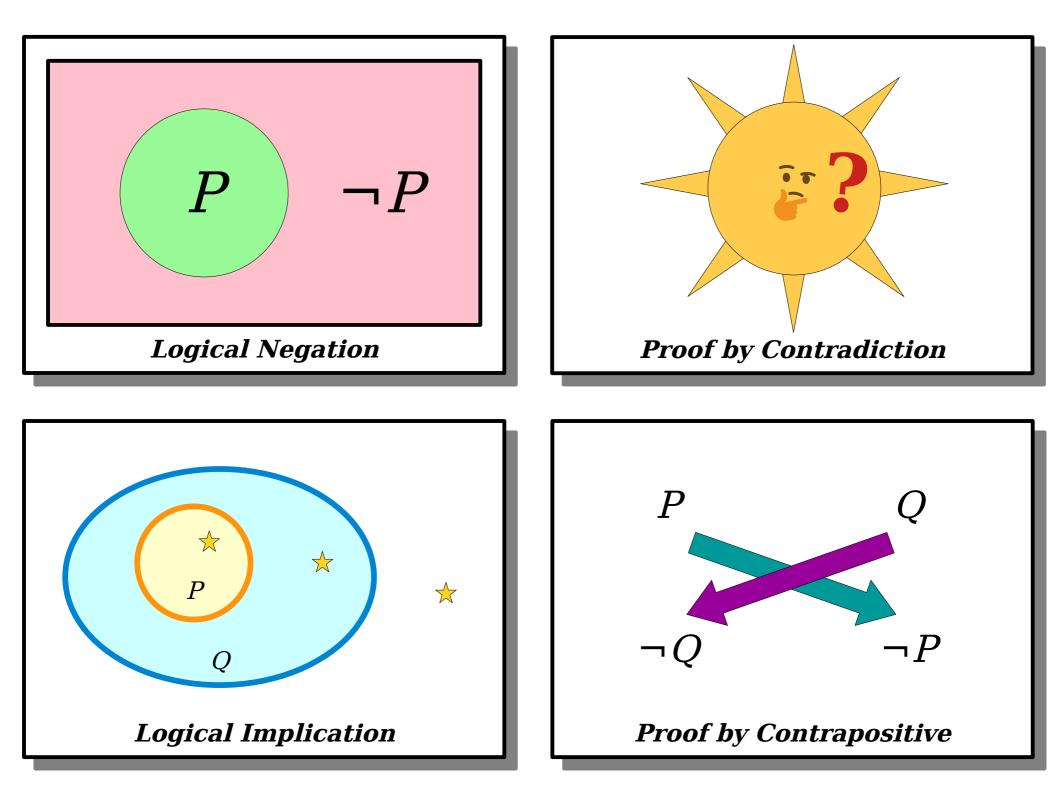
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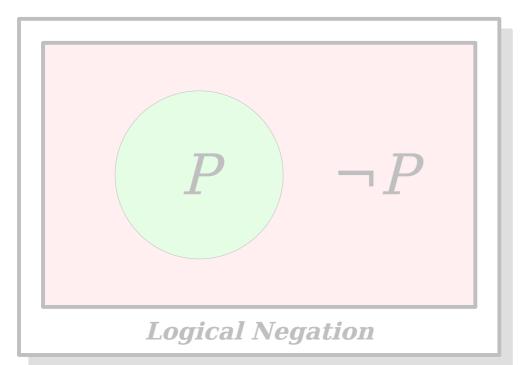


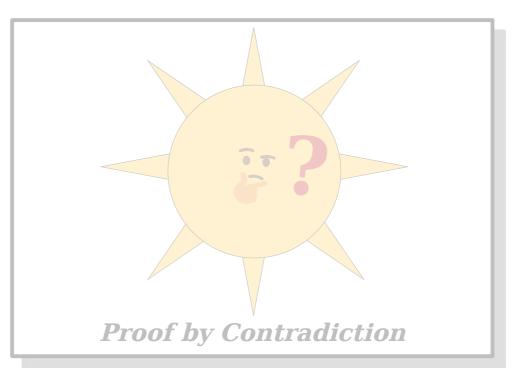
How to Negate Universal Statements: "For all x, P(x) is true" becomes "There is an x where P(x) is false."

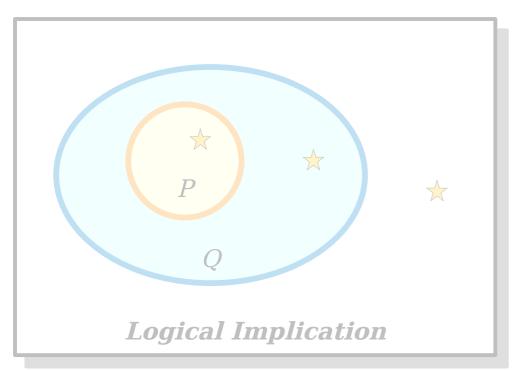
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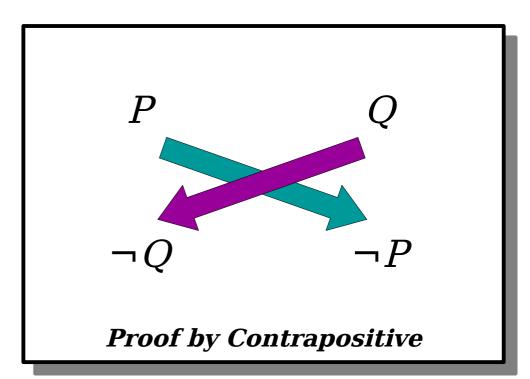
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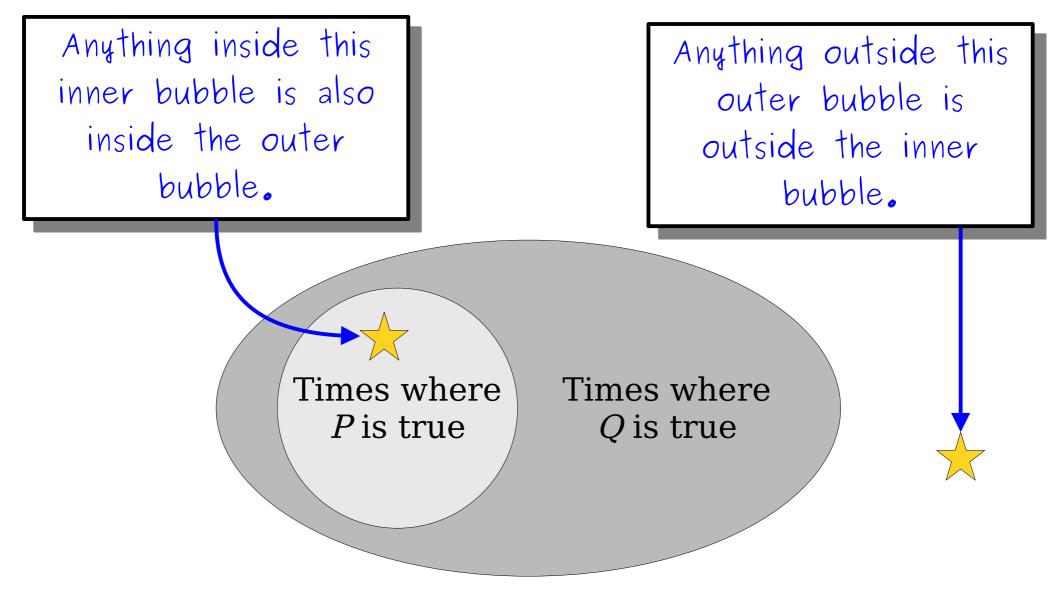






Act IV

# Proof by Contrapositive



If *P* is true, then *Q* is true. If *Q* is false, then *P* is false.

## The Contrapositive

• The *contrapositive* of the implication If *P* is true, then *Q* is true

is the implication

### If **Q** is false, then **P** is false.

• The contrapositive of an implication means exactly the same thing as the implication itself.



If I don't love it, then it's not a puppy.

# The Contrapositive

• The *contrapositive* of the implication If *P* is true, then *Q* is true

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### If **Q** is false, then **P** is false.

• The contrapositive of an implication means exactly the same thing as the implication itself.

If I store cat food inside, then raccoons won't steal it.



If raccoons stole the cat food, then I didn't store it inside.

## To prove the statement **"if** *P* **is true, then** *Q* **is true,"**

you can choose to instead prove the equivalent statement

### "if **Q** is false, then **P** is false,"

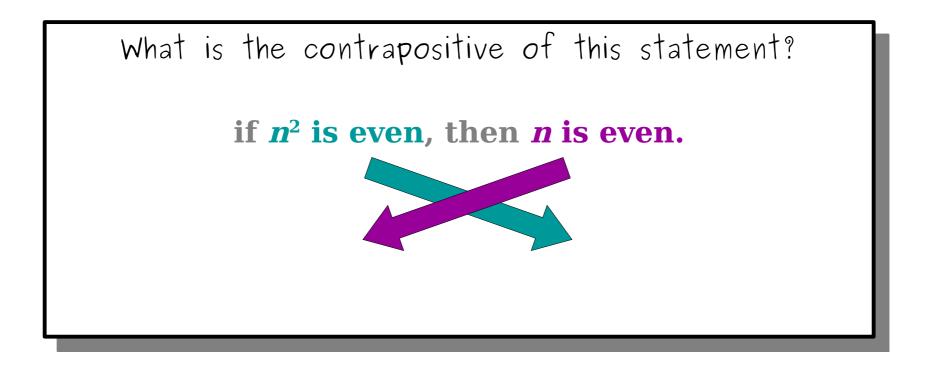
if that seems easier.

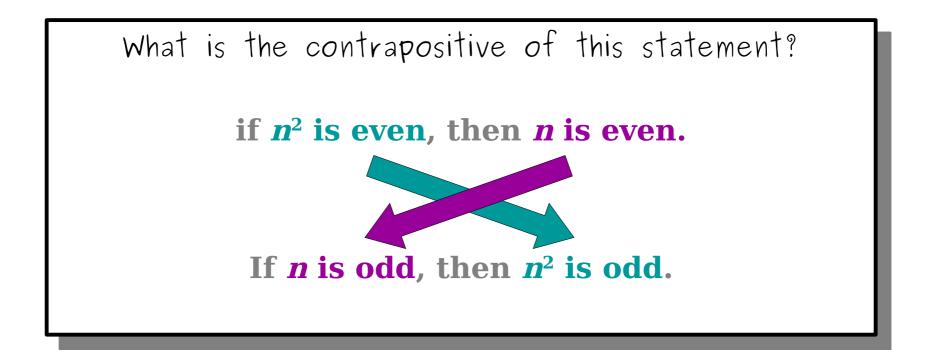
This is called a *proof by contrapositive*.

> This is a courtesy to the reader and says "heads up: we're not going to do a regular old-fashioned direct proof here."

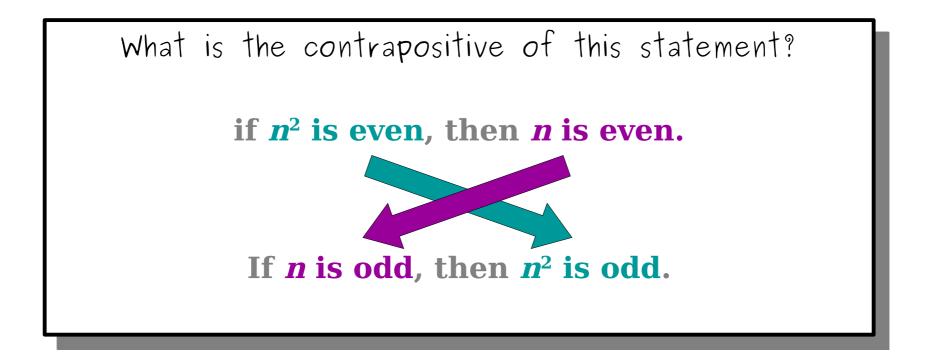
What is the contrapositive of this statement?
if <i>n</i> <sup>2</sup> is even, then <i>n</i> is even.

What is the contrapositive of this statement?	
if <i>n</i> <sup>2</sup> is even, then <i>n</i> is even.	





**Proof:** We will prove the contrapositive of this statement, that if n is odd, then  $n^2$  is odd.



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Here, we're explicitly writing out the contrapositive. This tells the reader what we're going to prove. It also acts as a sanity check by forcing us to write out what we think the contrapositive is.

**Proof:** We will prove the contrapositive of this statement, that if n is odd, then  $n^2$  is odd.

We've said that we're going to prove this new implication, so let's go do it! The rest of this proof will look a lot like a standard direct proof.

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The general pattern here is the following:

We know integer us that

 $n^2$  is od

 Start by announcing that we're going to use a proof by contrapositive so that the reader knows what to expect.

2. Explicitly state the contrapositive of what we want to prove.

From th (namely means th to show.

3. Go prove the contrapositive.

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#### Biconditionals

• The previous theorem, combined with what we saw on Wednesday, tells us the following:

For any integer *n*, if *n* is even, then  $n^2$  is even. For any integer *n*, if  $n^2$  is even, then *n* is even.

- These are two different implications, each going the other way.
- We use the phrase *if and only if* to indicate that two statements imply one another.
- For example, we might combine the two above statements to say

for any integer *n*: *n* is even if and only if  $n^2$  is even.

## **Proving Biconditionals**

To prove a theorem of the form
 *P* if and only if *Q*,

you need to prove two separate statements.

- First, that if *P* is true, then *Q* is true.
- Second, that if *Q* is true, then *P* is true.
- You can use any proof techniques you'd like to show each of these statements.
  - In our case, we used a direct proof for one and a proof by contrapositive for the other.

#### What We Learned

#### • How do you negate formulas?

- It depends on the formula. There are nice rules for how to negate universal and existential statements and implications.
- What's a proof by contradiction?
  - It's a proof of a statement P that works by showing that P cannot be false.
- What's an implication?
  - It's statement of the form "if *P*, then *Q*," and states that if *P* is true, then *Q* is true.
- What is a proof by contrapositive?
  - It's a proof of an implication that instead proves its contrapositive.
  - (The contrapositive of "if *P*, then *Q*" is "if not *Q*, then not *P*.")

#### Your Action Items

- Read "Guide to Office Hours," the "Proofwriting Checklist," and the "Guide to LaTeX."
  - There's a lot of useful information there. In particular, be sure to read the Proofwriting Checklist, as we'll be working through this checklist when grading your proofs!
- Start working on PS1.
  - At a bare minimum, read over it to see what's being asked. That'll give you time to turn things over in your mind this weekend.

#### Next Time

- Mathematical Logic
  - How do we formalize the reasoning from our proofs?
- **Propositional Logic** 
  - Reasoning about simple statements.
- Propositional Equivalences
  - Simplifying complex statements.

#### **Appendix:** Proving Implications by Contradiction

- Suppose we want to prove this implication:
   If *P* is true, then *Q* is true.
- We have three options available to us:
  - Direct Proof:
  - Proof by Contrapositive.
  - **Proof by Contradiction.**

- Suppose we want to prove this implication:
   If *P* is true, then *Q* is true.
- We have three options available to us:
  - Direct Proof:

Assume *P* is true, then prove *Q* is true.

- Proof by Contrapositive.
- **Proof by Contradiction.**

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Assume *P* is true, then prove *Q* is true.

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Assume *Q* is false, then prove that *P* is false.

• **Proof by Contradiction.** 

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Assume *P* is true, then prove *Q* is true.

• Proof by Contrapositive.

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... what does this look like?

#### **Theorem:** For any integer *n*, if $n^2$ is even, then *n* is even.

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# What is the negation of our theorem?

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$$n = 2k + 1. \tag{1}$$

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Equation (2) tells us that  $n^2$  is odd, which is impossible; by assumption,  $n^2$  is even.

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Since n is odd we know that there is an integer k such The three key pieces:

- 1. Say that the proof is by contradiction.
- Say what the negation of the original statement is.
   Say you have reached a contradiction and what the contradiction entails.

In CS103, please include all these steps in your proofs!

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• Suppose we want to prove this implication:

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  - Direct Proof:

Assume *P* is true, then prove *Q* is true.

• Proof by Contrapositive.

Assume *Q* is false, then prove that *P* is false.

• **Proof by Contradiction.** 

Assume *P* is true and *Q* is false, then derive a contradiction.